Research Services.

# Code Of Practice For Research Degree Programmes 2008–09.

Incorporating the Research Training Programme Handbook



## **Contents**

Foreword from the Pro-Vice Chancellor	2	Recommended schedule of student progression	29
Introduction	3	When a student fails to make progress	29
Motivation and Advice	3	Monitoring of research degrees	29
Research Services	4	Working hours, holidays and employment  The employment of research students by departments	30 30
Graduate Research Centre	5	Thesis Preparation, Submission and Examination	31
Postgraduate Research Student Care Policy	6	Use of unfair means in the assessment process	
Types of Research Degrees	7	(e.g. plagiarism)	31
Types of degree	7	Use of copyright material	32
Study away from Sheffield	8	Guidelines on the preparation and format of theses for research degrees	32
Joint Location Scheme	8	Word limits	36
Remote Location Scheme	8	Appointment of examiners	36
Joint research degrees	8	Submission of theses	36
Registration and Fees	9	UCard from submission of thesis	37
Registration of new research students	9	Arrangements for the oral examination	37
_	9	Conduct of the oral examination	37
Registration of continuing research students		Attendance at the oral examination	37
Payment of tuition fees	9	Uploading the final version of the thesis	37
Application to Read	9	After the oral examination	38
Period of registration	10	Minor amendments	38
Transfer of registration from MPhil to PhD or MD/DDSo		Guidance on resubmission	39
'upgrading'	11	Award and conferment of degrees	39
Fees structure for full-time postgraduate research			
students registered before 2007-08	11	Help if issues arise	40
Fees 2008-09 (for students who registered prior to the		Complaints procedure	40
start of the 2007-08 year)	12	Academic Appeals regulations	40
Fees structure for full-time postgraduate research students registered from 2007-08	12	Additional Information, Facilities and Support	41
	12	Dates of semesters	41
Continuation fees for students registering for the first time in 2008-09	12	Health and Safety	41
	12	Data Protection: implications for research	42
Changes to students' status, personal circumstances and time limits	14	Intellectual Property Rights and the exploitation of research	42
		Learned Societies Fund	
Part-time study	14		43
Leave of Absence	14	Regulations	43
Time limits	14	Additional University Services and Facilities	44
Extension of time limits	15	Accommodation & Campus Services	44
Academic Technology Approval Scheme (ATAS)	15	Careers Service	44
Withdrawal from the University	15	Computing Facilities	44
Notification of changes to personal details	15	Counselling Service	44
Research Training	16	English Language Teaching Centre	44
Research Training Programme	16	Library	45
UK Research Councils Joint Skills Statement	20	Modern Languages Teaching Centre	45
Training Needs Analysis	21	Print service	45
Excellence Exchange Scheme	23	UCard	45
Personal and Professional Development Planning	23	USport	45
Supervision (responsibilities of the student,		Welfare and Advice	46
the supervisor and the department)	24	Childcare	46
Ethical Approval	24	Cross-Cultural Issues	46
Responsibilities of the student	24	Disabled Students	46
Responsibilities of the supervisor	25	Health Matters	46
Responsibilities of the Department	27	Independent Support Agencies	47
Conflicts of interest in postgraduate supervision	28	Nightline	47
Change of supervisor	28	Personal safety and security	47
Academic Progress	29	Religious Matters	47
Progress of research students	29	Student Advice Centre	48
Annual Progress Reports	29	Union of Students	48

## **Foreword**

### The graduate experience

I would like to extend a warm welcome to those who have just joined the University and to welcome back those who graduated here and those who are already participating in a graduate programme.

This booklet sets out some guidelines for your graduate experience and what to do if it appears expectations are not being realised.

You will find a range of facilities to support the academic, social and welfare aspects of your stay at the University. However, at this stage the principal imperative is for you to develop and maintain a strong relationship with your supervisor. The guidelines which follow are designed to outline operational procedures that are an important part of your graduate experience.

As part of its graduate programme, the University requires all prospective full-time PhD students to undertake a Research Training Programme (RTP). In total, several hundred units are offered so there should be no difficulty in choosing the few necessary to provide training in the three skills objectives covered by the RTP. The programme is designed to enable you to tackle your research project with confidence - from inception to final write-up - and to enhance research and personal skills of long-term value. Research students have their own particular needs and the University seeks to meet these by providing a supportive academic and pastoral environment. It is the responsibility of each individual student to raise any problems at the earliest opportunity with the appropriate person within the University. I hope that this booklet will be a useful source of advice and guidance throughout your time as a research student at Sheffield.

Good luck with your research and enjoy your graduate experience with us!

#### **PROFESSOR G R TOMLINSON**

Pro-Vice-Chancellor for Research



## **Introduction**

This booklet, *Code of Practice for Research Degree Programmes*, contains a wide range of information required by both students and their academic departments from the point of registration on to a higher degree by research, to the point of award.

Some of the immediate actions when joining the University as a research student include:

- Undertaking a Training Needs Analysis to inform the choice of Research Training Programme (RTP) units
- Attending Plagiarism and Copyright sessions to help research to be undertaken, from day one, using appropriate methods for the electronic publication of the thesis (eThesis)
- Discussing the expectations of all parties (ie the student, supervisory team, academic department) and agreeing the initial frequency of supervisory progress meetings
- Becoming familiar with the guidance on working hours, holidays and hours of employment
- · Consider whether your research requires ethical approval

Information on all the above is provided in this booklet.

The Code of Practice, however, contains much more information than this, providing you with details on how to submit a thesis, how the oral examination is arranged, how to make changes to student status due to personal or other circumstances, what fees are due and when, etc.

This booklet is reviewed and updated annually. It is available from:

- Research Services reception in hardcopy either as the complete booklet or in the form of information sheets covering a
  particular section
- www.shef.ac.uk/pgresearch for downloading in full, or in the "How to..." section for specific queries.

## **Motivation and advice**

A research degree provides a wonderful opportunity to pursue detailed, innovative study in an area of particular interest or concern. The thrill of undertaking original research, reaching new conclusions and developing ideas can last a lifetime. In many cases, similar opportunities will never recur. Research can also be repetitive and frustrating. It is important that students recognise the commitments and responsibilities they are entering into. "I wanted to spend another three years at university" is not sufficient reason in itself for entering a research degree programme.

In particular, grants for most graduate students are low compared with salaries available in paid employment. Disillusionment and hardship are familiar problems which face graduate research students. The University therefore seeks to ensure that prospective students receive full guidance, information and advice from their Departments or from central services before they commence their research, especially regarding the nature of research, life as a research student and their financial position. This is particularly important for students meeting some or all of the cost of their study from their own resources and/or with dependants to support.

## **Research Services**

Research Services is a grouping of central service offices supporting academic staff research, research students and knowledge transfer.

#### Student support includes:

Work relating to a student's progression through their research degree, for example:

- · Approval of candidature/application to read
- Changes to student status, e.g. change of Degree, Department, Faculty, scope of research or supervisor; upgrading;
   changes in registration status; applications for extensions to time limits; withdrawals; requests for leave of absence; etc.
- Arrangements for monitoring academic progress
- Approval of proposed examiners and their official appointment; processing of examiners' expenses.
- · Approval and award of degrees

#### Other areas of work relating to research students include:

- Front of House Services (inc. Thesis Management)
- North Campus Graduate Research Centre
- Liaison with the Union of Students
- · Newsletters (PGR Link and Research Supervision Matters) and other publications for research students
- Quality Assurance
- Research Skills Training (inc. RTP, seminar series, Excellence Exchange Scheme)
- · Studentships and funding

### **University Committees dealing with Research Student Matters**

The University has a number of committees that specifically focus on postgraduate research student matters. Each Faculty has its own Graduate Research Committee (FGRC), which reports both to the relevant Faculty Board and to the University's Graduate Research Development Committee (GRDC), which maintains University-wide oversight of research student matters. Research Services provides support for each Faculty's Graduate Research Committee and for the University's Graduate Research Development Committee. The University will be introducing a new academic Governance structure during 2008-09. Details will be available in due course.

## **Graduate Research Centre**



North Campus Graduate Research Centre, Garden Street

The North Campus Graduate Research Centre is a facility exclusively for the use of postgraduate research students across the University.

The Centre provides dedicated workspace for 80 research students who do not have such facilities in their academic departments. It also has a drop-in centre, a large seminar room, an interview room with digital recording equipment, kitchen facilities, shower facilities, and social space.

The Centre is open to students with workspaces seven days a week between the hours of 8am and 12pm. The Centre is manned by Research Services' staff during normal office hours.

Students may apply for a workspace to use throughout their research degree or for specific periods of time, e.g. literature review, or writing up. Application forms can be found on the web site or are available from the Centre's reception.

#### **Contact Details**

Research Services is being re-structured in summer 2008. For up-to-date contact information, please refer to the web site (www.shef.ac.uk/pgresearch/).





## **Postgraduate Research Student Care Policy**

The University's Postgraduate Research Students are customers of Research Services

We aim to meet their needs with efficiency, effectiveness, fairness and courtesy.

#### We aim to meet our customers' needs by:

- Providing a wide range of advice and support services to past, present and future graduate research students.
- Listening to the changing needs of graduate research students and adapting our service accordingly wherever possible.
- Maintaining and developing effective links with all areas of the University, the Union of Students, and external organisations
  and, where appropriate, referring our customers to the relevant area.

#### We aim to offer a quality service by:

- Providing accurate and appropriate advice and support to our customers.
- Welcoming customer feedback on our service and suggestions for improvement and development.
- Establishing high standards and regularly monitoring our performance.
- Valuing the expertise of colleagues and enabling them to develop their experience and skills.

#### We aim to be fair and courteous by:

- Treating all our customers justly and with respect and sensitivity, irrespective of race, colour, nationality, ethnic origin, age, gender, sexual orientation, marital or parental status, disability, political or religious belief, or socio-economic class.
- Holding information securely and not releasing it to unauthorised persons.

#### In return:

We expect our customers to treat us with courtesy and respect.



## **Types of Research Degrees**

### **Types of degree**

Most research degrees of the University may be pursued on either a full-time or part-time basis (exceptions include the PhD with Integrated Studies, the EngD and the DEdCPsy). The majority of students are registered either as full-time or part-time student candidates; members of University staff reading for research degrees are registered as staff candidates.

Special categories of candidature exist for hospital staff, for the holders of certain named University research fellowships, and for the members of staff of affiliated institutions. Further information and guidance is available from Research Services.

It should be noted that registered study for any other degree or qualification of any university should not normally be undertaken during a programme of study or research without special permission of the Senate. The research degrees of the University are as follows:

#### **All Faculties:**

Doctor of Philosophy (PhD)
Doctor of Philosophy (PhD) with Integrated Studies
Master of Philosophy (MPhil)

The degree of PhD is normally obtained after three years' study; the degree of MPhil after two years' study. Both require the presentation of a thesis and an oral examination. A candidate for the degree of PhD is required to satisfy the examiners that his or her thesis 'is original work which forms an addition to knowledge; shows evidence of systematic study and of the ability to relate the results of such study to the general body of knowledge in the subject; and is worthy of publication either in full or in an abridged form'. The form of the thesis should also be such that it is demonstrably a coherent body of work, i.e. includes a summary, an introduction, a description of the aims of the research, an analytical discussion of the main results and conclusions, and sets the total work in context.

Examiners are advised that their judgment of the thesis should be based on what may reasonably be expected of a diligent and capable student after completion of the prescribed period of research.

Although there is no equivalent definition of a successful MPhil thesis, it is generally accepted that it should contain a record of the research carried out and display a good general knowledge of the area of study, together with a comprehensive and detailed knowledge of some part or aspect of it.

The University also offers a four-year PhD with Integrated Studies as part of the New Route PhD initiative. This programme differs from the traditional three-year PhD in that it combines a specific research project with an integrated programme of coursework. Students who successfully complete all of the programme requirements will be awarded a Masters degree as well as a PhD in the relevant subject area. (See www.sheffield.ac.uk/nrphd)

The university also has regulatory provision to offer jointly awarded research degrees with one or more partner institutions. Joint research degrees require institutional approval, which should be sought well in advance of the degree commencing. Further information can be found on the Research Services web site.

#### **Faculty of Arts:**

#### Master of Music (MMus) by Research

The degree of MMus is a Master's degree for which candidates are required to present two of the following: a thesis on a subject of research; a portfolio of original compositions; a prepared instrumental or vocal recital. An oral examination may also be required, at the discretion of the examiners.

#### Faculty of Engineering: Engineering Doctorate (EngD)

The degree of EngD is a four-year postgraduate research degree that incorporates a research project and a taught programme involving management, technical and personal development modules. The research project component of the EngD is linked to the needs of a sponsoring company. Candidates are required to present a thesis and pass an oral examination.

#### **Faculty of Law:**

#### Master of Laws (LLM) by Research

The degree of LLM is a research degree, admission to which is restricted to recognised graduates in Law. LLM research normally falls into the broad category of legal analysis as opposed to socio-legal or criminological studies, for which MPhil candidature is more appropriate. Candidates are required to present a thesis; an oral examination may also be required, at the discretion of the examiners.

#### **Faculty of Medicine:**

Doctor of Medicine (MD)
Doctor of Dental Science (DDSc)
Doctor of Medical Science (DMedSci)

MD and DDSc degree programmes are both at doctoral level and candidates are required to produce a thesis and pass an oral examination. The criteria for the award of these degrees are the same as the PhD.

The degree of DMedSci is a research degree that includes a programme of coursework. Candidates are required to successfully complete the prescribed coursework, present a thesis and pass an oral examination.

## Faculty of Social Sciences: Doctoral Programme in Education (EdD)

The degree of EdD offers an alternative route to a doctoral qualification and aims to provide a broad and flexible research-based preparation for a range of professional and

managerial careers. Part I of the programme requires candidates to take taught modules in research skills and

training, together with 'elective' modules providing specialisation in a particular area of interest and/or further development of particular research skills, methods or issues. Part II involves a major research project leading to the submission of a thesis or equivalent portfolio of 50,000 words. An oral examination is also required.

#### **Doctor of Educational and Child Psychology (DEdCPsy)**

The degree of DEdCPsy is a three-year research degree which combines coursework, a supervised practice placement and submission of a thesis. Assessment is based on submission of a number of different pieces of work, including a final thesis and a paper for proposed publication. Candidates are also required to pass an oral examination.

### **Study away from Sheffield**

#### **Home Students**

Certain types of research necessarily involve periods of study away from Sheffield; for example, to collect data or samples or carry out other fieldwork, or to visit libraries or archives. Similarly, students in receipt of CASE awards or other industrially-linked or sponsored studentships will normally be required to work for an agreed period at the premises of the collaborating company or outside body. The duration and timing of these periods should, as far as possible, be established at the outset, so that an appropriate timetable for the research and writing of the thesis can be drawn up.

It is possible for a full-time student to spend a more extended period away from Sheffield, and in some cases permission may be given for the entire period of research to be undertaken elsewhere, subject to certain criteria concerning the suitability of the location and its facilities, and the continuity of supervision being met. Such arrangements require the approval of the relevant Faculty. Similar arrangements may also be possible for part-time students, typically when the research topic is related to the student's employment and the research is undertaken at the place of employment.

#### Overseas/European Union students

There are two formal schemes allowing for research away from the University for Overseas/EU candidates:

#### 1 Joint Location Scheme (full-time)

This scheme is designed to enable students to divide their period of research between Sheffield and another approved location. A minimum of one year must normally be spent in Sheffield (either as a block of time or distributed across the whole period of research). The normal period before submission of the thesis is three years.

The scheme is open to overseas and EU students. In order to be admitted under this scheme, candidates must:

- meet the University's normal entry requirements for higher degrees by research;
- plan to pursue a scheme of research which inherently requires some of the work to be undertaken in a location away from Sheffield;

- demonstrate adequate supervisory arrangements for the scheme of research acceptable to the relevant Faculty;
- prove access, where appropriate, to the necessary library and other facilities;
- comply with the terms and conditions of the guidelines on Joint Location study.

Approval of a Joint Location candidature should be sought prior to admission through the relevant Faculty.

#### 2 Remote Location Scheme (part-time)

This scheme is designed to allow part-time students to pursue research at an approved remote location site, with special arrangements in place to ensure the appropriateness and adequacy of research supervision. The normal period before submission of the thesis is six years. In order to be admitted to this scheme, candidates must:

- meet the University's normal entry requirements for higher degrees by research
- prove access to suitable remote location research facilities
- demonstrate appropriateness and adequacy of the proposed supervisory arrangements
- comply with the terms and conditions on Remote Location study.

Approval of a Remote Location candidature should be sought prior to admission through the relevant Faculty.

## Joint research degrees

The university also has regulatory provision to offer jointly awarded research degrees with one or more partner institutions. Joint research degrees require institutional approval, which should be sought well in advance of the degree commencing.

## **Registration and Fees**

## Registration of new research students

Registration is the process by which the University confirms that it holds correct information about its students, their programmes of study and the arrangements for the payment of their tuition fees.

All full-time and part-time graduate students (excluding staff candidates) are required to register in person on commencing their studies.

University staff candidates are required to complete a registration form at the commencement of their research, but are not required to register in person.

Students entering the University at the beginning of the Autumn Semester are expected to register just before the start of the Semester, according to the published timetable. Students whose research commences during the course of the session should register on arrival at the Registration Office, Level Six, University House.

Before registering, all students must provide satisfactory evidence of their ability to pay tuition fees and other dues. New graduate students are also required to provide evidence of their qualifications and should bring their original certificates, or certified copies of them, to registration.

Students requiring formal confirmation letters relating to registration status and immigration matters must apply to the Department of Student Services, who are the only personnel authorized to issue such letters on behalf of the University.

## Registration of continuing research students

All full-time and part-time graduate students (excluding staff candidates) are required to register annually until the required period of registration for full fee paying purposes is completed. The re-registration of full-time graduate students is carried out via Departments in July/August, while part-time graduate students are re-registered by post at the same time.

Permission to re-register will be withheld in cases where students have monies outstanding to the University in respect of, for example, tuition fees or accommodation charges.

## **Payment of tuition fees**

Tuition fees for sponsored students are paid direct to the University by the sponsoring body. The holders of University scholarships which cover tuition fees are regarded as sponsored students for this purpose.

Students who intend to pay their fees from their own funds, funds over which they have control or through a bank loan, are regarded as self-financed. Self-financed students may choose either to pay their fees in full at registration (a prompt payment discount is offered to full-time self-financed students) or by instalment.

University staff candidates do not pay annual tuition fees; instead they are required to pay a fee on submission of their thesis.

### **Application to Read**

The University's regulations for higher degrees by research state that graduate students' qualifications and proposed scheme of study or research are subject to Faculty approval. This formal approval, often referred to as the 'application to read' or approval of candidature, normally takes place within the first three months of registration. Once the 'application to read' has been submitted to the Faculty (with documentary evidence as required), the student receives a certified copy of the form (with the signature of the appropriate Faculty Officer and the official stamp of the University), together with an information sheet which:

- confirms that he/she is eligible and qualified to be a candidate for a higher degree by research;
- confirms that he/she is registered for the specified research degree programme (e.g. PhD, MPhil, LLM, EdD, etc.);
- confirms the appointment of the supervisor(s);
- records the scope of research;
- records the date of commencement, the required period of registration for full fee paying purposes and the time limit for submission of the thesis;
- specifies the regulations and conditions under which the candidature is approved.

The student should consult their supervisor to ascertain whether their research will require consideration by the University Ethics Committee or, in the case of research involving animals, a Home Office licence. Approval should be sought from the appropriate bodies. Research in the affected areas should not be undertaken until this is granted.

#### Faculty of Medicine students only:

Students whose research involves any invasive procedures or handling of any unfixed human tissue should have completed the required course of vaccinations prior to the commencement of the research.

## **Period of registration**

The following table details the minimum, normal and maximum periods of registration for the University's research degree programmes, where the period of registration is regarded as that within which the entire project should be completed and a thesis submitted.

- minimum period of registration is the shortest allowable time between initial registration and submission of the thesis for the specified research degree programme, as defined in the University Regulations
- normal period of registration is the typical or optimum time between initial registration and submission of the thesis for a specified research degree programme - that is, all research students should be aiming to submit their thesis within this timescale

 maximum period of registration is the time limit, as defined in the University's Regulations, for submission of the thesis for the specified research degree programme.

The required period of registration for full fee paying purposes, which is recorded in each research student's letter approving their application to read, is the number of years for which full tuition fees are payable to the University. This is based on the **normal** period of registration for the specified research degree programme. With respect to the minimum period of registration, consideration of any reduction in fees will only take place after the actual submission of the thesis to Research Services.

Additional fees are payable by candidates who take longer than the normal period of registration to submit their theses.

#### Periods of registration and time limits for research degree programmes

DEGREE	CANDIDATURE	MINIMUM	NORMAL	MAXIMUM (TIME LIMIT)
Please note: these time li	mits include the writing	of the thesis to the p	oint of submission	
PhD	Full-time	2 years	3 years	4 years
PhD with Integrated Studies	Full-time	4 years	4 years	5 years
PhD	Part-time	4 years	6 years	8 years
PhD	University Staff	2 years	2 years	8 years
MPhil	Full-time	1 year	2 years	3 years
MPhil	Part-time	2 years	3 years	6 years
MPhil	University Staff	1 year	1 year	6 years
EdD	Part-time	4 years	6 years	8 years
LLM	Full-time	1 year	1 year	4 years
LLM	Part-time	2 years	2 years	6 years
DMedSci	Full-time	3 years	3 years	4 years
DMedSci	Part-time	4 years	6 years	8 years
DMedSci	University Staff	4 years	4 years	8 years
MD/DDSc	Full-time	2 years	3 years	4 years
MD/DDSc	Part-time	4 years	6 years	8 years
MD/DDSc	University Staff	2 years	2 years	8 years
MMus	Full-time	9 months	1 year	3 years
MMus	Part-time	2 years	2 years	6 years
EngD	Full-time	3 years	4 years	5 years
DEdCPsy	Full-time	3 years	3 years	4 years

## Transfer of registration from MPhil to PhD or MD/DDSc/DEng: 'upgrading'

Students who already hold a qualification deemed to be equivalent to a UK Master's degree may be permitted to register directly for the degree of PhD, MD or DDSc, but the majority of students are admitted to read initially for the degree of MPhil with the expectation that, subject to satisfactory progress, they will later be upgraded to PhD/MD/DDSc/DEng. Many Faculties insist on initial registration as MPhil leading to PhD/MD/DDSc/DEng in all cases.

Procedures for Joint Location and Remote Location study will vary, and students and staff should consult the relevant notes of guidance available on the following Web Site: <a href="https://www.sheffield.ac.uk/postgraduate/research/away">www.sheffield.ac.uk/postgraduate/research/away</a>

Both the timing of and procedures for upgrading vary from Faculty to Faculty and from Department to Department. The decision to recommend upgrading is, however, often taken towards the end of the first year, following the submission of a significant piece of written work and/or a presentation at a Departmental research seminar. In addition, the University requires that full-time students must have successfully achieved the requisite number of credits from the Research Training Programme before upgrading can be confirmed. Students are permitted a maximum of two attempts to upgrade. Students who are unsuccessful after both attempts should be directed to submit for MPhil only.

Following Faculty approval of a Department's recommendation that upgrading should take place, the student will receive formal notification from the Faculty in the form of a certified copy of the upgrade form (with the signature of the appropriate Faculty Officer and the official stamp of the University), together with an information sheet which provides details of his/her revised registration period and time limit.

## Fees structure for full-time postgraduate research students registered before 2007-08

Full fees are collected at the beginning of each year for the duration of the normal period of registration (please refer to the table on page 10 for information on periods of registration). If a student requires a further year of registration, the fee structure will change at that point from the full tuition fee to a continuation fee.

Continuation fees are collected either at the end of the additional year of registration, or at the point at which a student submits their thesis - whichever occurs first. The continuation fee is charged on a pro-rata basis starting at the end of the full fee paying period until the submission of the thesis or the end of the registration period, whichever is earlier. In order to encourage submission of the thesis within three months of the end of the full-fee paying period, the continuation fee is waived for submission within this period.

For example, if a student on a standard PhD with a normal period of registration of 3 years submits at the end of the fourth year, s/he will be required to pay the full continuation fee. However, should the student submit before that date, the continuation fee will be charged on a pro-rata basis. If the student submits within the first three calendar months of their fourth year, there will be no continuation fee to pay. However, as soon as the student enters month four of their fourth year, s/he will be required to pay the fee for four months. This scale then increases each month so that if, for example, a student submits six months into the fourth year, s/he would pay half the annual continuation fee.

The table below illustrates when continuation fees commence for the most common types of research degree.

Full-time PhD candidates (3-year PhD)	Fourth year of study
Full-time PhD with Integrated Studies candidates	Fifth year of study
Full-time MD/DDSc candidates	Fourth year of study
Full-time DMedSci candidates	Fourth year of study
Full-time MPhil candidates	Third year of study
Full-time MMus candidates	Second and third years of study
Full-time LLM candidates	Second, third and fourth years of study

There are no routine grounds for fee waivers in respect of Continuation Fees, but the Pro-Vice-Chancellor for Research will consider cases put forward by students who feel there are exceptional reasons why they should not have to pay additional fees. All cases should be channelled via the Department to Research Services for consideration by the appropriate Faculty Officer in the first instance.

Students who have completed their required period of registration for full fee-paying purposes are entitled to apply to the Student Services Information Desk for a UCard extension (subject to status).

#### Fees 2008-09 (for students who registered prior to the start of the 2007-08 year)

Continuation/Extension £312
Resubmission without oral £210
Resubmission with oral £285
Staff Candidate submission £357

(Please note that these fees are increased annually)

## Fees structure for full-time postgraduate research students registered from 2007-8.

Full fees are collected at the beginning of each year for the duration of the normal period of registration (please refer to the table on page 10 for information on periods of registration). If a student requires a further year of registration, the fee structure will change at that point from the full tuition fee to a continuation fee.

Continuation fees are collected either at the end of the additional year of registration, or at the point at which a student submits their thesis - whichever occurs first. The continuation fee is charged on a pro-rata basis starting at the end of the full fee paying period until the submission of the thesis or the end of the registration period, whichever is earlier.

In order to encourage submission of the thesis within three months of the end of the full-fee paying period, the continuation fee is waived for submission within this period. However, as soon as a student enters month four of their continuation year, s/he will be required to pay a deposit of the full first year continuation fee. The continuation fee will accrue on a monthly basis. Therefore, if the thesis is submitted in the sixth month after the end of the full-fee paying period, half a year's continuation fee will be owed and so half the deposit will be refunded. Full details are set out in the Table below.

#### Continuation Fees for students registering for the first time in 2008-09

A revised method of calculating continuation fees was introduced by the University at the start of the 2007-08 academic year, in order to encourage timely submission. The following rates therefore only apply to those students who registered for the first time in 2007-08 or 2008-09. The following table sets out the continuation fees payable by research students between the normal and maximum periods of registration (e.g. during Year 4 for full-time 3-year PhD)

Month thesis is submitted	Deposit	Refund	Total fees payable
1	0	-	0
2	0	-	0
3	0	-	0
4	£300	£200	£100
5	£300	£175	£125
6	£300	£150	£150
7	£300	£125	£175
8	£300	£100	£200
9	£300	£75	£225
10	£300	£50	£250
11	£300	£25	£275
12	£300	0	£300
13	£300	0	£1,080*
14			£1,170*
15			£1,260*
16			£1,350*
17 onwards			Increase £90 each subsequent month*

<sup>\*</sup> These fees are split equally between the student and the Department.

Based on a Continuation Fee of £1,080 in 2007-08. (Please note that these fees are increased annually)

#### Note: the fees applied from month 13 will be strictly enforced from the first day over the 12 month period

The length of the full-funding period depends on the degree and the funder, so each student needs to check at what date their continuation fees start. The table below illustrates when continuation fees usually commence for the most common types of research degree.

Type of Research Degree	Usual Commencement of Continuation Fee
Full-time PhD candidates (3-year PhD)	Fourth year of study
Full-time PhD with Integrated Studies candidates	Fifth year of study
Full-time MD/DDSc candidates	Fourth year of study
Full-time DMedSci candidates	Fourth year of study
Full-time MPhil candidates	Third year of study
Full-time MMus candidates	Second and third years of study
Full-time LLM candidates	Second, third and fourth years of study

It is important that students finish their degrees on time. Delays cost the student lost income from not using their degree to gain employment and the University's funders can, and do, penalise the University for an unacceptable numbers of students not submitting within four years.

There are no routine grounds for fee waivers in respect of continuation fees, but the Pro-Vice-Chancellor for Research will consider cases put forward by students who feel there are exceptional reasons why they should not have to pay additional fees. All cases should be channelled via the Department to Research Services.

Students who have completed their required period of registration for full fee-paying purposes are entitled to apply for a UCard extension (subject to status).



# Changes to students' status, personal circumstances and time limits

Changing circumstances may mean that it is no longer appropriate for a student to remain registered according to his/her original candidature. Common changes are from full-time to part-time student candidate, and vice versa, and from student candidate to staff candidate. Any such change requires the student to complete an application form for a 'Change of Candidature (PGR)'. Once the change is approved by Faculty, the student will receive a certified copy with an information sheet.

Non-EU/EEA students wishing to change the topic of their research should be aware that they may need to apply to the Foreign and Commonwealth Office for an ATAS certificate, depending on their new subject discipline.

The areas requiring ATAS clearance generally fall within the science, engineering and technology disciplines. For further details on ATAS, please contact Student Support and Guidance: international.students@sheffield.ac.uk, or refer to the Student Services Information Desk pages for International Students.

www.shef.ac.uk/ssid/international/sheet4.html

Students whose change of research topic requires them to apply for ATAS clearance will need to ask their supervisor to provide them with an official letter with details of their new area of research. A template letter is available for this purpose from the SSiD website.

## **Part-time study**

Students who are considering changing from full-time to part-time study should carefully consider a number of factors when reaching their decision.

- to combine study with other commitments requires significant time, energy and resources; it is important that part-time students are aware of the additional load which they are taking on.
- the time taken to complete a part-time degree may be considerable, possibly six to eight years for a PhD, and requires both patience and determination on the part of students.
- it is necessary for part-time students to plan their study time very carefully, to ensure that facilities are available at convenient times and in accessible locations. Given the nature of part-time study, it is especially important to maintain regular contact with your supervisor. This is particularly important for students undertaking research away from the University at a Remote Location site.

#### **Leave of absence**

In some cases, a period of leave of absence may be required, perhaps on personal, financial or medical grounds. Faculty approval is required and a form is available on-line at www.sheffield.ac.uk/pgresearch/

students/forms.html or from Research Services for this purpose. Non-EEA students must also seek guidance from an immigration advisor in Student Services before the application can be processed.

A student seeking leave of absence on medical grounds must submit a medical certificate with the application. On return to the University, a medical note confirming that the student is able to resume his/her studies should also be submitted to Research Services. Research Council-funded students requesting Leaves of Absence on the grounds of maternity/paternity leave are advised to consult their individual Research Councils for advice on the length of leave permitted.

It is not University policy to approve continued lengthy periods of leave of absence. One year is the normal maximum, but two years may be approved in exceptional circumstances.

Overseas students should note that the University is required to report all overseas students who are on extended periods of Leave of Absence to the Home Office who will ask them to return to their home country for the duration of the Leave of Absence.

Students in the UK subject to immigration regulations must be fully registered and attending in order to meet the conditions of the student visa. Leave of Absence (both current and retrospective) cannot be approved unless the student has a medical certificate to cover the whole period requested or unless the student left, or intends to leave, the UK during this time.

For further information or guidance relating to immigration issues, please contact Student Support and Guidance: international.students@sheffield.ac.uk

#### **Time limits**

Students are required to register and pay tuition fees annually until the required period of registration for full fee paying purposes is completed. It is important to ensure that projects are undertaken which can be fully completed in the registration period. This includes necessary training, preparatory work, actual research and preparation of a thesis.

The problems encountered by students who attempt to write up their theses while coping with the demands of full-time employment are well known, and it should also be noted that the UK research councils exert considerable pressure on universities whose students fail to submit within four years (in some cases where the submission rate is particularly poor, studentships have been withheld). Students are therefore expected to submit their theses before completion of the registration period, or as soon as possible thereafter.

On occasions, a further period of registration may be required if the student is still making significant use of University facilities. Full fees will be charged in such circumstances.

#### **Extension of time limits**

Students are strongly encouraged to submit their thesis within the normal period of registration. However, students may request extensions to their time limit. Requests for extensions should normally be limited to a maximum of 6 months at a time. However, University Regulations do permit the granting of an extension for up to 12 months at a time, in exceptional circumstances. This may include instances where a student would incur further financial penalties if a student were to be granted only 6 months extension at a time (e.g. due to the costs of having to apply for more than one visa extension during a year). In very exceptional circumstances, extensions may be granted for a further period by the Senate on the recommendation of the Faculty. The Pro-Vice-Chancellor for Research is the Senate's delegated representative for this purpose.

Unless an extension is granted, a student's candidature will automatically lapse if the thesis is not submitted before the time limit is reached. This could result in loss of access to computing and library facilities. A student wishing to apply for an extension should consult his/her supervisor at an early stage and will normally be required to complete a form (available from Research Services) indicating the reasons for failure to submit within the time limit. Requests should be supported by copies of departmental progress reports and action plans for the completion of the thesis. A supporting statement from the supervisor or Head of Department will also be required. Once the form is approved by Faculty, the student will receive a certified copy and an information sheet.

Please note that it is particularly important that all parts of the form are completed and that a substantial case is presented, together with detailed supporting information and a revised timetable. Partial or brief applications will not be considered by the Sub-Dean.

In considering requests for extensions of the time limit, Faculties will wish to ascertain that submission of the thesis can reasonably be expected within the period of the extension requested. A continuation fee will be levied. The level of this fee is reviewed annually and details of the current fee can be obtained from Research Services. There will be no fee waivers in respect of any fees due as a result of the granting of an Extension.

## Academic Technology Approval Scheme (ATAS)

Non-EU/EEA students wishing to extend Leave to Remain as a student in the UK for some Taught Masters programmes and some research degrees (MPhil, PhD, etc) will require ATAS clearance BEFORE applying for an extension. This does not apply to those extending for writing up or for re-sit examinations/re-submissions of dissertations.

ATAS may also apply to students who wish to change the area of their research to one of the subject areas affected.

The areas affected are generally within the science, engineering and technology disciplines and will be identified by JACs codes. For further details on ATAS and to find out if ATAS clearance will be required, please contact Student Support and Guidance: international.students@sheffield.ac.uk, or refer to the Student Services Information Desk pages for International Students at: www.shef.ac.uk/ssid/international/sheet4.html

Students who require ATAS clearance will need to ask their supervisor to provide an official letter with details of their area of research. A template letter is available for this purpose from the SSiD website.

www.shef.ac.uk/ssid/forms/atas.html

## **Withdrawal from the University**

If you are considering withdrawing from your studies, please discuss this matter first with your Supervisor/Departmental Postgraduate Tutor/Head of Department. If, following careful consideration and discussion, you still wish to withdraw, please complete the 'Change of Candidature (PGR)' form and submit it to the Faculty Representative at Research Services, ensuring that the effective date of the withdrawal and brief reasons for the decision are included. Please note that any outstanding debt to the University will become payable on withdrawal.

## Notification of changes to personal details

It is essential that Research Services is kept informed of any changes to students' personal circumstances. For example, changes to any contact details, particularly addresses or changes to names (to ensure that awards are made in the correct name). This is the responsibility of each student. Details on how to change an address on-line are available at <a href="https://www.shef.ac.uk/ssid/record/pin.html">www.shef.ac.uk/ssid/record/pin.html</a>

Notification of a change in name can be made to Research Services or SSiD upon presentation of original documentation proving the name change.

## **Research Training**

### **Research Training Programme**

The following information will inform you whether the RTP is applicable to you, and, if it is, what you need to do next.

Below is a checklist of everything you need to do to register on to the RTP in the first year of your research degree programme. Please work through the steps with your supervisor (or other appointed person in your department) to ensure you make the right unit choices for your personal skill level and the research you are about to embark on.

- STEP 1 Do you need to register for the RTP? (page 17). Check whether the RTP is compulsory to your research degree programme and how many credits you are required to complete.
- STEP 2 Attend the Information Session for New Research Students in Firth Hall on Wednesday 1st October 2008 at 9.30am. (page 18)
- STEP 3 Meet with your supervisor as soon as possible, but certainly before the Registration Event for your particular Faculty during week commencing 6th October 2008 to: (page 19)
  - Undertake a Training Needs Analysis to determine your requirements of the RTP in your first year.
  - Make sure you are aware of any specific requirements of your sponsor/department. (page 18)
  - Discuss whether you are able to make a claim for partial exemption from the RTP. (page 18)
  - Make your unit choices. It will be necessary to check the timetable information provided on the central teaching timetable website or, if necessary, contact the unit provider.
  - Complete your RTP Registration Form and obtain the departmental signatures required.
- STEP 4 Check the Registration Event timetable to see when you are required to register for the RTP. (page 19)
- STEP 5 Attend the Registration Event. If you intend to claim an exemption, please make sure you take supporting documentary evidence for consideration with you.
- STEP 6 Start attending classes even if you have not received confirmation of your unit choices from Research Services.

The RTP units which you can choose from and all further information is detailed on the RTP website - www.shef.ac.uk/pgresearch/rtp

#### Do you need to register for the RTP?

The RTP is compulsory for some research students, and available to all. Please check below to see how it applies to you.

#### **Full-time PhD Students**

All full-time students whose target degree is PhD are required to complete 45 credits of the RTP. Those 45 credits can include any exemptions for which you may request/make a case for (see page 18).

If you are registered initially for an MPhil leading to a PhD (this is the registration status of most full time research students) then you will have to be upgraded by your department approximately 12-18months into your degree programme. A minimum of 20 RTP credits must have been completed by the time of your upgrade.

If you register directly onto a PhD then you will be expected to have completed a minimum of 20 credits by the end of your second complete semester.

#### Full-time MD or DDSc Students

All full-time students whose target degree is MD or DDSc are required to complete 30 credits of the RTP. Those 30 credits can include any exemptions for which you may request/make a case for (see page 18).

If you are registered initially for an MPhil leading to MD or DDSc then you will have to be upgraded by your department approximately 12-18months into your degree programme. A minimum of 20 RTP credits must have been completed by the time of your upgrade.

If you register directly onto an MD or DDSc then you will be expected to have completed a minimum of 20 credits by the end of your second complete semester.

#### Full-time PhD with Integrated Studies Students

All full-time students registered for this degree will take a minimum of 45 credits of the RTP. The RTP units taken will be as specified in the individual degree programme, as prescribed by the Programme Leader.

#### **Full-time MPhil Students**

Full-time MPhil students are *not required* to take the RTP. However, the University would encourage this and, should you and your supervisor agree, then up to 20 credits can be completed throughout the period of registration.

#### Part-time PhD, MD, DDSc, and MPhil Students

Part-time research students are *not required* to take the RTP. However, the University would encourage you to do so. Should you and your supervisor agree on this, then you can complete up to the following number of units throughout the period of registration:

PhD Students - 45 credits MD and DDSc Students - 30 credits MPhil Students - 20 credits

## Why does the University have a Research Training Programme?

All research students need a variety of subject-specific and generic research skills in order to successfully complete a research degree. The RTP at Sheffield actually goes one step further than that and will help you prepare for your future career, whether that be in or outside of academia.

Many people believe that the completion of a research degree is training in itself, and this is, of course, true. However, the RTP allows you to identify, practise, and develop the methods and techniques essential to your research process and therefore gain more from the overall experience.

Once you are near completion of your research project and your thoughts turn to your future career, you will become aware that future employers expect students to be able to demonstrate a range of *transferable skills* which extend beyond the actual research. The generic skills training available to you through the RTP will prepare you for this by enhancing your skills in critical thinking, oral and written communications, networking and team working and so on.

The RTP is designed to be flexible so that each student can tailor their choices to their specific degree programme and career aims. It has evolved dramatically over its twelve years of existence in response to feedback from students and staff across the University. This has also ensured that it has kept pace with the UK's skills training agenda, as set by organisations such as the Quality Assurance Agency (QAA) and UK Research Councils.

## How units are structured and the objectives of the RTP

All units are detailed on the RTP website - <a href="https://www.shef.ac.uk/pgresearch/rtp">www.shef.ac.uk/pgresearch/rtp</a>. The majority of RTP units are taught units which are designed and delivered by academic departments and have a set assessment criteria and fixed credit award, e.g. 5, 10 or 15 credits.

There are then a number of units which are delivered by non-academic departments such as the Library, the English Language Teaching Centre, etc. Again, these units tend to have a set assessment criteria and a credit award, e.g. 5, 10 or 15 credits.

However, to further enhance the flexibility of the RTP and to allow you to gain credits for activities you will undertake as part of your ongoing development there are three "umbrella" units.

These units are detailed on the RTP website for you but you may choose to take, for example, 5 credits on unit code GSC6300 and make those credits up from

- Presenting a poster at a conference (1 credit)
- Writing a journal paper (2 credits)
- Attending a seminar on media skills (0.5 credit)
- Undertaking of regular undergraduate tutorial duties (1 credit)
- Drawing up and maintaining of an updated CV (0.5 credit)

A second unit worth 10 credits is also available.

Unit code GSC6320 is a unit worth a total of 5 credits and these credits are gained from attending a number of short skills seminars at the Graduate Research Centre which cover

- Research Skills (e.g. Managing Projects Successfully, Writing your Thesis)
- Personal Development Skills (e.g. Getting more out of Supervision, Being Creative and Original)
- Careers Skills (e.g. CV Workshop, Preparing for Interview)

#### Skills and Training Breakdown of Objectives of the RTP

To ensure you receive the broadest benefit from the RTP, units are broken down in to three main categories:

- A development of generic skills, contributing to the understanding of research methods and techniques, and the context in which the research takes place.
- B development of generic skills contributing to your personal and professional development.
- C broadening or deepening of subject knowledge.

Overall by the end of your RTP you must take a minimum of 5 credits from each of the three areas above (less any approved exemptions).

The UK Research Councils publish a Joint Skills Statement on skills training requirements for research students. This Statement can be useful when assessing your RTP unit choices. Please see page 20 for full details.

#### Partial exemption from the RTP

If you are a full-time PhD, MD or DDSc student (and therefore are *required* to take the RTP) you may be eligible for a partial exemption from the full number of credits you are required to complete. For example if you have taken a research track taught Master's degree which you and your supervisor believe has provided you with some of the skills you will require, then a case for partial exemption can be made on your RTP Registration Form.

It must be stressed that your department must support any case made for exemption, and that any such request can only be approved by the Sub-Dean for your Faculty.

## Specific requirements of Sponsors/Departments

Some sponsors (e.g. EPSRC) require their students to undertake *specific* research training units or to have access to a formalised research training programme which goes beyond the University's requirements (e.g. ESRC).

EPSRC funded students are expected to attend the UK Grad Programme (unit code GSC6120), or alternatively the White Rose Interpersonal Skills School (unit code GSC6110). In fact, all research council funded students are encouraged to attend one of these courses.

Students in the Faculty of Social Sciences, and in the School of Health and Related Research (ScHARR) whose research topic falls within the social sciences should check with their supervisor about any additional training they are required to

take (over and above the University's requirements). Such additional training will be organised by the department but may be registered on the RTP Registration Form (if it is an accredited unit) at section 2B.

## Academic Technology Approval Scheme (ATAS)

Non-EU/EEA students wishing to undertake RTP modules that fall within the science, engineering and technology disciplines should be aware that they may need to apply to the Foreign and Commonwealth Office for an ATAS certificate, depending on their subject discipline. If ATAS applies to you and you do not already have this clearance it is VERY IMPORTANT that you apply for this clearance before you register for the RTP. However, if you have already gained ATAS clearance at admissions stage you will already be covered and need not re-apply.

For further details on ATAS, please contact Student Support and Guidance: international.students@sheffield.ac.uk, or refer to the Student Services Information Desk pages for International Students.

www.shef.ac.uk/ssid/international/sheet4.html

#### Attendance at the information session for New Research Students

If you are in Sheffield on the 1st October 2008 you are required to attend the *Information Session for New Research Students* where you will be given additional information on the RTP and have a chance to speak to Graduate Research Office staff should you have any queries.

DATE: Wednesday, 1st October 2008

TIME: 9.30am VENUE: Firth Hall

#### Training needs analysis

The RTP should be tailored to each individual student and their research topic.

As you register annually for the RTP, a Training Needs Analysis (TNA) should be also undertaken each year

You and your supervisor (or other appropriate person in your department) should assess your individual skill level against your goal for the 1st year of your research. The RTP choices should be tailored to fill any current or foreseen skills gaps.

In future years as your training requirements changes it is hoped you will begin to integrate careers workshops into your RTP. Research shows that students with a clear career plan are more likely to submit their thesis on time.

A TNA form can be obtained from your department or can be downloaded from:

www.sheffield.ac.uk/pgresearch/students/tna.html

#### How to register for the RTP

You will register for your RTP choices annually. At the beginning of year one you will attend a registration event. In subsequent years registration is handled by post.

The University encourages its research students to split their RTP units over the duration of their research degree programme to allow training to be tailored to the specific stage and requirements of a student's and research project.

Students Registering with the University at the beginning of Semester One

The dates and times below are for you to register on to the RTP (this is not your registration to become a student of the University).

Please look at the table below, find your Faculty, and then check on which date and time you are required to attend.

Faculty	Date	Times	Venue
Arts	10 October 2008	10.00 am	Firth Hall
Engineering	8 October 2008	10.00 am	Mappin Hall
Medicine	7 October 2008	10.00 pm	Medical Faculty Board Room
Pure Science	9 October 2008	10.00 am	Firth Hall
Social Sciences (including Architectural Studies and Law)	9 October 2008	2.00 pm	Firth Hall

You need to bring to the event your completed RTP registration form. If you are requesting any exemptions please ensure you have any documentary evidence to support the case with you.

At the registration event the Sub-Dean for your Faculty will consider your registration form.

When your registration form has been approved, your unit choices will be formally recorded on your student record. You will receive official confirmation of your choices either at the registration event or within one week of approval. Please keep a copy of your registration form for your own records.

#### If you miss the Registration Event

If you miss the registration event for any reason, including starting your research at a different time of the year, then you should follow the same steps to make your unit choices, but then submit your completed registration form directly to Research Services.

Please pay particular attention to the start date for units you wish to take to ensure they are timely for you. This may mean you have to delay the start of the RTP until the beginning of a future semester.

#### **How to contact Research Services**

#### **Unit Choice and General Enquiries**

Please either make your enquiry in person at Research Services (267 Glossop Road - Monday to Friday - 9.30am to 4.30pm) -Or- By email to RTPenquiries@shef.ac.uk

#### **UK Research Councils Joint Skills Statement**

#### Joint Statement of Skills Training Requirements of Research Postgraduates (2001)

#### Introduction

Joint Statement of Skills Training Requirements of Research Postgraduates (2001)

The Research Councils play an important role in setting standards and identifying best practice in research training. This document sets out a joint statement of the skills that doctoral research students funded by the Research Councils would be expected to develop during their research training.

These skills may be present on commencement, explicitly taught, or developed during the course of the research. It is expected that different mechanisms will be used to support learning as appropriate, including self-direction, supervisor support and mentoring, departmental support, workshops, conferences, elective training courses, formally assessed courses and informal opportunities.

The Research Councils would also want to re-emphasise their belief that training in research skills and techniques is the key element in the development of a research student, and that PhD students are expected to make a substantial, original contribution to knowledge in their area, normally leading to published work. The development of wider employment-related skills should not detract from that core objective.

The purpose of this statement is to give a common view of the skills and experience of a typical research student thereby providing universities with a clear and consistent message aimed at helping them to ensure that all research training was of the highest standard, across all disciplines. It is not the intention of this document to provide assessment criteria for research training.

It is expected that each Council will have additional requirements specific to their field of interest and will continue to have their own measures for the evaluation of research training within institutions.

#### A) Research Skills and Techniques - to be able to demonstrate:

- the ability to recognise and validate problems
- original, independent and critical thinking, and the ability to develop theoretical concepts
- a knowledge of recent advances within one's field and in related areas
- an understanding of relevant research methodologies and techniques and their appropriate application within one's research field
- the ability to critically analyse and evaluate one's findings and those of others
- an ability to summarise, document, report and reflect on progress

#### B) Research Environment - to be able to:

- show a broad understanding of the context, at the national and international level, in which research takes place
- demonstrate awareness of issues relating to the rights of other researchers, of research subjects, and of others who may be affected by the research, e.g. confidentiality, ethical issues, attribution, copyright, malpractice, ownership of data and the requirements of the Data Protection Act
- demonstrate appreciation of standards of good research practice in their institution and/or discipline
- understand relevant health and safety issues and demonstrate responsible working practices
- understand the processes for funding and evaluation of research
- justify the principles and experimental techniques used in one's own research
- understand the process of academic or commercial exploitation of research results

#### C) Research Management - to be able to:

- apply effective project management through the setting of research goals, intermediate milestones and prioritisation of activities
- design and execute systems for the acquisition and collation of information through the effective use of appropriate resources and equipment
- identify and access appropriate bibliographical resources, archives, and other sources of relevant information
- use information technology appropriately for database management, recording and presenting information

#### D) Personal Effectiveness - to be able to:

- demonstrate a willingness and ability to learn and acquire knowledge
- be creative, innovative and original in one's approach to research
- · demonstrate flexibility and open-mindedness
- demonstrate self-awareness and the ability to identify own training needs
- demonstrate self-discipline, motivation, and thoroughness
- recognise boundaries and draw upon/use sources of support as appropriate
- show initiative, work independently and be self-reliant

#### E) Communication Skills - to be able to:

- write clearly and in a style appropriate to purpose, e.g. progress reports, published documents, thesis
- construct coherent arguments and articulate ideas clearly to a range of audiences, formally and informally through a variety of techniques
- constructively defend research outcomes at seminars and viva examination
- contribute to promoting the public understanding of one's research field
- effectively support the learning of others when involved in teaching, mentoring or demonstrating activities

#### F) Networking and Teamworking - to be able to:

- develop and maintain co-operative networks and working relationships with supervisors, colleagues and peers, within the institution and the wider research community
- understand one's behaviours and impact on others when working in and contributing to the success of formal and informal teams
- listen, give and receive feedback and respond perceptively to others

#### G) Career Management - to be able to:

- appreciate the need for and show commitment to continued professional development
- take ownership for and manage one's career progression, set realistic and achievable career goals, and identify and develop ways to improve employability
- demonstrate an insight into the transferable nature of research skills to other work environments and the range of career opportunities within and outside academia
- present one's skills, personal attributes and experiences through effective CVs, applications and interviews

## **Training Needs Analysis (TNA)**

An academic department may have their own version of this form, however, even if this is the case, students may find this useful to make their own notes on in preparation for meeting their supervisor to discuss Research Training Programme (RTP) choices.

This form is also available on-line, therefore, if a student/department would like to change any of the boxes to be more appropriate to the student's current skills and their area of research, it can be adapted accordingly. The form is available at www.sheffield.ac.uk/pgresearch/students/tna.html

Research students register for the RTP annually and therefore should undertake a TNA each year to assess how their skill levels and skills requirements have changed.

Bibliographic and Literature Review Skills			
(e.g. searching, recording and	reviewing literature)		
Previous experience/training:			
Anticipated needs/training:			
How will the training be achieved?:			
Presentation Skills (e.g. writin	g and oral skills)		
Constitution China (O.B. 111 term	<b>5</b>		
Previous experience/training:			
Anticipated needs/training:			
How will the training be achieved?:			
IT Skills (e.g. word processing	, email, spreadsheet, database, specialist software, etc)		
Previous experience/training:			
Anticipated needs/training:			
How will the training be achieved?:			



How will the training be achieved?:

Personal Skills (e.g. time mana	agement, project management, team working, etc)
Previous experience/training:	
Anticipated needs/training:	
How will the training be achieved?:	
Research Design (e.g. samplin	g, formulating questions, philosophical rationale, etc)
Previous experience/training:	
Anticipated needs/training:	
How will the training be achieved?:	
thical and Legal Issues	
Previous experience/training:	
Anticipated needs/training:	
How will the training be achieved?:	
Oata Collection and Analysis	
Previous experience/training:	
Anticipated needs/training:	
How will the training be achieved?:	
Other relevant skills/experien	ce
Previous experience/training:	
Anticipated needs/training:	



### **Excellence Exchange Scheme**

The Excellence Exchange Scheme aims to provide research students with the opportunity to develop their research potential and offers funding to enable research students to undertake research visits to known centres of excellence to enhance their knowledge or skills. Visits should be of clear benefit to the student and provide added value to their research project with the support of the supervisor, department and a named contact at the centre of excellence.

The scheme is especially aimed at those in the first two years of their PhD. Applications from students in the writing up phase of their thesis are only appropriate where there is a clear demonstration that the visit will not affect the submission date.

Subject to departmental approval, it is possible that award-holders could receive RTP credits for the training undertaken during the research visit. In order to gain such credits, students would need to be registered on either of the following RTP units: Personal and Professional Skills Development 1 (GSC6300) or Personal and Professional Skills 2 (GSC6310).

The scheme is proving to be very successful. One successful application to facilitate the exploration of how airflows affect the flight pattern of footballs, recently received widespread national media attention. Further information on the scheme and how to apply can be found at

www.sheffield.ac.uk/pgresearch/students/excellence.html.

## Personal and Professional Development Planning

The University's Personal and Professional Development Planning (PPDP) package provides students with the means to keep track of their professional development and reflect on the skills that they acquire, both as a result of carrying out their research programme, and through other experiences, such as paid employment and extra-curricular activities.

Research students can access and create their own portfolio of PPDP documents through the University's online learning environment (MOLE). The documents provided enable students to keep a systematic record of such things as: academic achievements; paid work experience; training courses undertaken and lessons learned from significant life experiences.

Engagement with the PPDP process is entirely voluntary, but students are strongly encouraged to make use of the package as a means of recording their progress and identifying their training needs in preparation for supervision meetings. Evidence from the University and elsewhere also shows that engagement with PPDP is an invaluable way of gathering the evidence required for job applications and a useful preparation for involvement with CPD activities in future employment.

Enquiries and feedback about the contents of the PPDP package should be sent to Kevin Mahoney in the Careers Service (k.mahoney@shef.ac.uk). For technical queries (e.g. about difficulties in accessing the documents), please contact Paul Wigfield in Learning and Teaching Services (p.wigfield@shef.ac.uk).



# Supervision (detailing responsibilities of the student, the supervisor and the department)

### **Ethical Approval**

If a student plans to do research involving human participants, whether directly or indirectly - e.g. interviews, questionnaires, focus groups, observations, accessing personal data, using human tissue - then the research must be independently ethically reviewed and approved BEFORE the student begins data gathering. The Supervisor is responsible for ensuring that this takes place. Failure to gain ethics approval may result in the student's dissertation or thesis being failed or deemed ineligible for submission. Depending on the nature of the research, the 'University's ethics review procedure', the 'NHS procedure', or an 'Alternative procedure' will apply. Guidance on these procedures, and when each applies, is available from the University's central research ethics website:

www.shef.ac.uk/researchoffice/gov\_ethics\_grp/ethics/system.html

This site also provides a link to the University's Research Ethics Policy and to broader guidance on ethical issues.

### **Supervision**

Whilst all research students will have one main supervisor, he/she will be part of a supervisory team. In many departments a second named supervisor will also be appointed. This is particularly important when the research is of an interdisciplinary nature. However, it also ensures that support is available at times when the main supervisor is absent from the University. Other members of the 'team' should include the Graduate Tutor (or equivalent), Head of Department, and possibly the relevant member of support staff. Honorary members of the University's staff can act as part of the supervisory team.

For MD and DDSc candidates based away from the Sheffield Teaching Hospitals NHS Trust, an additional Supervisor shall also be appointed.

Members of academic staff new to the University will have had their research supervisory calibre assessed as part of the recruitment and selection process. New academic staff without supervisory experience are appointed a mentor to act as part of their supervisory 'team' as a source of advice and guidance.

The Head of Department will be responsible for assigning academic staff workload, including deciding the maximum number of research students that any one member of academic staff can supervise. However, it is advised that members of academic staff should supervise no more than six FTE students at any one time.

All supervisors are selected for their expertise and involvement in the appropriate field of study. This does not mean, of course, that supervisors already know all there is to know about the subjects they supervise, and the best

supervisor-student relationships are those in which there is a two-way interaction of research and learning, and in which the student explores and gains insights into aspects of the subject which are stimulating to the supervisor as well. The relationship between student and supervisor is not a static one and most students find that as they become more familiar with their field of research they become less directly dependent on their supervisor.

#### **Supervisory Meetings**

Meetings between research student and supervisor(s) to review progress should normally take place at least every four to six weeks and there should always be a formal record of these review meetings. (Students away from the University, e.g. on fieldwork, should agree special arrangements with their supervisory team.)

The 'formal record' of a supervisory review meeting should detail progress made on the project and set out objectives to be achieved before the next meeting.

Students should be encouraged to take the lead in producing these records, which can be in written or electronic format. Should a department not have its own Supervisory Record pro forma, two on-line forms suitable for this purpose are available from

www.sheffield.ac.uk/pgresearch/students/forms.html

#### **Absence of a Supervisor**

Should a supervisor be absent from the University for more than the length of time between supervisory meetings, then the department should make alternative arrangements for the student. These may be temporary or permanent depending on the specific circumstances.

## **Responsibilities of the student**

#### Induction

- The student should ensure that he/she has read, understood and complies with the University's Code of Practice for Research Degree Programmes.
- In the early stages of the research the student should discuss with the supervisor the type of guidance believed to be most helpful and the training which may be required, in order to clarify both the needs and aims of the research. He/she should work with the supervisor to identify and select strategies to meet these needs and aims. This may be in the form of a Training Needs Analysis.
- The student should work with the supervisor to establish an effective supervisory relationship, tackling the research with a positive commitment, and taking full advantage of the resources and facilities offered by the academic environment.

- The student should have an agreement with the supervisor on the record keeping of supervisory meetings.
   Both the student and supervisor should keep copies of the written or electronic record.
- The student should discuss any financial arrangements in connection with their work with their supervisor and ensure that these are carried out in accordance with the University's Financial Directives.
- The student should check his/her University email account on a regular basis, so as not to miss important messages.

Note: An Induction Passport is issued to all new research students on arrival at the University. It provides helpful advice and information about University events and procedures.

#### **Supervision**

- The student should agree with the supervisor to have regular meetings, ideally at least every four to six weeks, and to attend supervisory sessions in accordance with the agreed schedule.
- The student should work with the supervisor to establish and maintain a satisfactory timetable for the research. The student should aim, by the end of the first year (or second year for part-time students) to have defined the area of research, become acquainted with the background knowledge required and the relevant literature, and have established a provisional timetable for conducting the research and writing the thesis. Full-time students should also have made satisfactory progress in the Research Training Programme.
- The student should submit written work to the supervisor regularly and in good time, in accordance with the agreed timetable. This work should be word-processed, not handwritten.
- The student should take note of guidance and feedback offered by the supervisor.
- The student should discuss any problems, such as those of access to data, information, facilities, equipment or supervisory relationship with the supervisor at the time, since the early identification and resolution of problems can prevent difficulties later on. If the student feels unable to raise the problem with the supervisor, then the Head of Department or departmental Graduate Tutor should be consulted. If for any reason this is not felt to be possible, the student should contact Research Services. Further advice and support is also available from the Advice Centre in the Union of Students and from the University's Counselling Service.
- The student should agree in advance with the supervisor any period(s) of absence from the University.

The importance of prompt identification and resolution of any problems cannot be over-emphasised, and it is the responsibility of the student in the first instance to ensure that any problems are raised at the appropriate level at the earliest opportunity.

#### **Academic progress**

- The student should keep written records of his/her work, which may form the basis of progress reports required by the supervisor, department, Faculty or funding body.
- The student should raise with the supervisor any concerns which he/she may have concerning the progress being made and request additional support if necessary.

#### The final stages

- The student should take advice from the supervisor on the procedures for the submission and examination of their thesis
- Prior to submission the student should provide the supervisor with the opportunity to see a draft of the complete thesis. The decision to submit rests with the student, and whilst the student should take due account of his/her supervisor's opinion, it must be stressed that at this stage it is advisory only.

## Responsibilities of the supervisor

#### Induction

- At the outset of the research, the supervisor should explore in detail the student's academic background in order to identify any areas in which further training (including language training) is required. In particular the supervisor should advise the student in the choice of Research Training Programme units to be studied.
   Supervisors should be aware of the particular difficulties which may face international students who may in the early stages require more frequent contact and advice.
- The supervisor should ensure that they have a thorough understanding of the RTP and its benefits in order to ensure students make the most appropriate choice of units.
- The supervisor should ensure that the student has a clear understanding in general terms of the main aspects of graduate research: the concept of originality; the different kinds of research; the form and structure of the thesis (including the appropriate forms of referencing); the necessary standards to be achieved; the importance of planning and time management; the procedures for monitoring and reporting progress.
- The supervisor should ensure that the student is aware of the University's Good Research Practice (GRP) Standards. In addition, the supervisor should ensure that the student has a clear understanding in general terms of 'research ethics', where this is relevant (i.e. if they are undertaking research that involves contact with human participants and/or with human data and/or human tissue) and a clear understanding of 'research governance', where this is relevant. The GRP Standards and guidance on research ethics and governance are available at www.sheffield.ac.uk/researchoffice/ethics.html

- The supervisor should work with the student to establish an effective supervisory relationship, thereby supporting the student. This should include an agreement on the frequency of progress meetings and the arrangements for keeping records (e.g. written or electronic).
- The supervisor should set a target upgrade date and submission date at induction to ensure that all parties acknowledge the length of time available for each stage of the project.

Note: Research Services provides an Induction Checklist to Supervisors of new research students at the start of each academic year. This checklist provides advice on procedures to be followed when dealing with new research students and complements the Induction Passport issued to the students.

#### **Supervision**

- The supervisor will give guidance on the nature of the research and the standard expected; the selection of a research programme and the topic to be covered; the planning and timing of the successive stages of the research programme; literature and sources; research methods and instrumental techniques; attendance at appropriate courses; avoidance of plagiarism and respect for copyright. The supervisor should ensure that the research project can be completed fully, including preparation of a thesis within the time available, and advise the student accordingly.
- The supervisor must ensure that a clear agreement is made with the student on the frequency and nature of the supervisory contact required at any particular stage of the project. Supervisory sessions should be uninterrupted as far as possible by telephone calls, personal callers and departmental business. The frequency of such sessions should ideally be every four to six weeks as a minimum depending on the nature of the research (e.g. whether laboratory work is involved), the particular research project, and may also depend on whether the student is registered on a full-time or part-time basis. The length of sessions will also vary, from student to student, across time and between disciplines.
- Written or electronic records of supervisory sessions should be kept by both the student and supervisor.
- Both the supervisor and student should keep a file of all correspondence, including emails (this is especially relevant to students registered on the Remote Location programme).
- The student and supervisor must have an agreed procedure for dealing with urgent problems (e.g. by telephone, e-mail and/or the arrangement of additional meetings at short notice).
- The supervisor should, in discussion with the student, establish and maintain a satisfactory timetable for the research, including the necessary completion dates for each stage, so that the thesis may be submitted within the scheduled time. This planning should take into account the requirements of the relevant funding body in relation, for example, to upgrading and submission.

- The supervisor should read promptly all the written work submitted in accordance with the agreed timetable and provide constructive criticism.
- The supervisor should arrange as appropriate (in many departments it is a requirement) for the student to present work to staff or graduate seminars and should take an active part in introducing the student to meetings of learned societies and to other researchers in the field.
   The supervisor should provide advice on writing up the research, or parts of it, for publication.
- The supervisor should advise the student well in advance
  of any planned periods of absence from the University. If
  the period of absence is significant (more than the length
  of time between supervisory meetings), the supervisor
  should ensure that appropriate arrangements for
  alternative supervision are made and that the student is
  informed of them.
- The supervisor should take note of feedback from the student.

#### **Academic progress**

- The supervisor should ensure that the student is informed
  of any inadequacy of standards of work below that
  generally expected from research students and should
  suggest remedial action as appropriate.
- The supervisor will be required from time to time by both the University and external funding bodies to provide a detailed written record of the student's progress and should ensure that all departmental and/or Faculty requirements concerning the submission of progress reports are complied with. In particular it is essential that the Annual Progress Report form, supplied by Research Services, is completed and returned within the specified timescale. The supervisor should discuss the completion of the Annual Progress Report with the student and it is essential that the student signs the form to acknowledge that s/he has seen and understood the contents of the completed report.

#### The final stages

- The supervisor should initiate the procedures for the appointment of examiners well in advance of the thesis being submitted.
- The supervisor should read and comment on a draft of the complete thesis.
- The supervisor should ensure that the student understands the procedures for the submission and examination of theses and should assist the student in preparing for the oral examination.
- Supervisors who believe their student will not complete within the allotted time limit should make early provision for applying for an extension.
- Should the student be asked to resubmit, supervisory responsibilities will continue.

## Responsibilities of the Department

- Each department should have a Graduate Tutor (or equivalent) responsible for co-ordinating graduate research within the department. The Graduate Tutor's role should be formalised to support students and supervisors, as well as developing policy and liaising with Faculty. Many larger departments also have graduate affairs committees, and this is commended as good practice by the University's Graduate Research Development Committee. The department should ensure that all students are aware of the contact details and responsibilities of their Graduate Tutor and any other support staff at induction.
- The department should provide new research students with at least one supervisor who is a member of the University's academic staff. He/she will be part of a supervisory team.
- The department should provide new research students with the details of a contact (usually the supervisor) who will meet them on their arrival at the University.
- The department should establish a recommended maximum number of research students per member of staff and should ensure that supervisors receive the training and support necessary to undertake effective supervision. This support might include teaching relief or adjustment of other responsibilities.
- The department should provide supervisors with a simple form to record supervisory progress meetings.
- The department should ensure that training courses for new supervisors are provided, either within the department/Faculty or by an external source, e.g. Staff Development Unit.
- The department should provide all new students with written information on: current research areas of staff and research students in the department; available facilities (for example, office space, equipment, computers, telephones, postage, transport) and students' entitlement to make use of them; departmental personnel (including clerical, technical and administrative staff), procedures and services relevant to their study; out of hours access; guidelines on the expected frequency of contact between student and supervisor and length of formal supervisory session; departmental procedures for monitoring progress and upgrading; details of graduate representatives on departmental staff-student and graduate affairs committees.
- The department should maintain a file on the student's progress.
- It is a requirement that the department should make arrangements for the provision of alternative supervision if the supervisor is absent (for more than the length of time between supervisory meetings) or leaves the University and should inform Research Services of the new arrangements at the earliest opportunity.

- The department must ensure that students have adequate facilities with which to pursue their research effectively. Departments should aim to provide facilities appropriate to the research to be undertaken; these will vary between departments and different types of research. Alternative arrangements should be made when equipment breaks down or when there is a shortage of specialised equipment, in order to minimise the delay to the student.
- The department must ensure that students are aware of the relevant Health and Safety regulations.
- The department should ensure that it has transparent written procedures for the upgrading of students from MPhil to their target degree and that these are provided to students at induction.
- The department must ensure that students undertaking teaching duties receive appropriate induction and support, and that they are given adequate instruction in the use of teaching equipment. Teaching and other duties to be undertaken by students should be specified in writing in advance, including the rate of pay and the number of hours to be undertaken (see the section Guidelines for Employment of Graduate Students).
- The department should provide information on new procedures relating to research degree programmes and opportunities to spread good practice, e.g. via an annual seminar or forum for all supervisors, experienced and new, as part of their Continuing Professional Development programme for research supervisors.
- The Head of Department or Graduate Tutor should discuss complaints about the quality of supervision with the supervisor, and where appropriate recommend training, or appoint an additional or replacement supervisor.
- Where numbers of research students are low, departments should investigate ways of providing interdepartmental/Faculty social and networking opportunities for students.
- Departments that do not currently run a mentoring scheme for new students should investigate whether such a scheme would be appropriate for their students.

## Conflicts of interest in postgraduate supervision

There have been instances where members of academic staff supervise postgraduate students with whom they have personal relationships (e.g. spouse, partner). In these circumstances there are real or perceived conflicts of interest, including decisions on academic progress and transfer of candidature. Human Resources has issued guidelines on "Personal relationships and conflicts of interest in the workplace"

(www.shef.ac.uk/hr/info/policy/personal-relationships.html) and while these provide a useful regulatory framework, the supervisor-research student relationship is unique because of the length of the programme (up to 8 years), the amount of contact time required and the stringent nature of the assessments required before submission for a higher degree. Therefore, the following guidelines have been developed to be applied specifically to postgraduate student supervision. These guidelines have been approved by the Senate.

- 1. In all cases where a real or perceived conflict of interest in PGR supervision exists or develops, the academic member of staff involved should declare this at the earliest opportunity to the relevant Head of Department (for Medicine, Dean of School) and PG Tutor. If a conflict of interest is deemed to exist, the member of staff should withdraw from supervising that student and every effort should be made to find alternative supervisors.
- 2. If the academic member of staff concerned considers that withdrawal from supervision is impossible or undesirable, a written case should be made detailing the relationships involved and the attempts made to find an alternative supervisor. A final decision will be made by the Faculty Sub-Dean for PG Affairs in consultation with the Head of Department or Dean, as appropriate.
- 3. In line with the University's Code of Practice, a supervisory team should be appointed for each Postgraduate Research Student (PGR). The lead supervisor and co-supervisor should be identifiably independent. Consequently, wherever possible, partners (personal or business) should not constitute the only members of the supervisory team for a PGR student.
- 4. PGR student studies should not be commenced until the identity of the supervisory team has been agreed with the PG Tutor and Head of Department.

### **Change of supervisor**

If serious conflicts arise between the supervisor and student which cannot be resolved, either the student or the supervisor may refer the matter to the Graduate Tutor or the Head of Department. Wherever possible, the student will be assigned to a different supervisor or an additional supervisor may be appointed. The situation should also be referred to the Faculty via the completion of a 'Change of Candidature (PGR)' form for formal approval and recording of any changes in the supervisory arrangements.

If a supervisor resigns part way through a student's research programme, cover should be arranged until an alternative supervisor is found. Research Services should be kept informed of any changes to supervisory arrangements at the earliest opportunity.

## **Academic Progress**

### **Progress of research students**

Formal progress reports should normally be prepared for every research student at six month intervals. These should be submitted to the Graduate Tutor in the relevant department. The report should be prepared by the student but should also include a statement by the supervisor about progress made. This statement should be shown to the student and the student should be free to add further comments if appropriate. Where difficulties arise, the department must ensure that the situation is referred to the Faculty for further consideration.

Procedures for Joint Location and Remote Location study will vary, and students and staff should consult the relevant notes of guidance available on the following web site: www.sheffield.ac.uk/postgraduate/research/away

### **Annual Progress Reports**

Annual Progress Reports for all students should be submitted to Research Services in July each year for Faculty consideration. Completed Annual Progress Reports should also be shown to and discussed with the student prior to submission. Students are required to sign the Annual Progress Report to verify that they have seen its contents.

## Recommended schedule of student progression

In addition to the submission of formal Annual Progress Reports, the following scheme of progress monitoring reports is commended as good practice (for full-time programmes of three years in length):

#### Year 1

#### Six months

• Summary of planned work.

#### **Twelve months**

 Description of work undertaken. Outline of problem being addressed and plan of further work to be completed. This report may form part of the 'upgrading' procedure.

#### Year 2

#### Six months

• Report on ongoing work. Revised plan for completion.

#### Twelve months

 Detailed statement of research undertaken and remaining to be done.

#### Year 3

#### Six months

Thesis outline, setting out chapters and structure.

#### **Twelve months**

 Appointment of Examiners, Submission of the thesis, and arrangement of oral examination.

It is recognised that the precise scheme of work and the content of reports may vary between different subjects; for example, in some subject areas, students may need to be preparing written material almost from the outset. However, the general practice of six-monthly reports is commended.

## When a student fails to make progress

An academic department may request a review of a student's academic progress, on certain grounds, which are specified in the University Regulations. This process is primarily to determine whether or not a student should be permitted to continue their programme of research, and if so on what terms.

Details on the Regulations as to Progress of Students are available at www.shef.ac.uk/govern/calendar/progress.html

## **Monitoring of research degrees**

In compliance with external requirements, Research Services, on behalf of the University, annually monitors and reports on the submission rate of Research Council sponsored students.

In addition Research Services also monitors:

- Annual Progress Reports on individual research students;
- Submission and Completion rates;
- Resubmission rates; and
- Withdrawal rates.

Such information is shared with academic departments and University management.

## **Working hours, holidays and employment**

Research students are often concerned at the lack of clear guidance concerning working hours and holiday entitlements, since neither is governed by regulation. The differing requirements of disciplines and types of research will to a large extent determine the length of the working day/week appropriate to the individual concerned, and students must, in the main, be guided by their supervisor and Department. Students should also be aware that there is an optimum efficiency to be achieved by pacing oneself between work and recreation: some students have become so exclusively work-oriented that they take little or no time off, and they and their work consequently suffer.

Some students commencing graduate research are surprised that they are not entitled to the long vacation periods to which they have become accustomed as undergraduates. It should be noted that research students sponsored by the UK research councils may, subject to the agreement of their supervisors, take reasonable holidays, not exceeding eight weeks in the year (including public holidays). Up to a maximum of four weeks holiday may be taken at the end of the period of award. These research council rules may be used as a guide by all full-time research students not subject to the rules of other sponsoring bodies.

## The employment of research students by departments

The University encourages the employment of graduate students in a number of capacities (e.g. as part-time teaching assistants, demonstrators, etc), provided that this can be undertaken without detriment to the research programme in progress. Such teaching helps to develop a broad range of personal and presentational skills which can be of considerable long-term benefit to the student concerned. Graduate students commonly have recent experience as recipients of teaching and are often well placed to assist in course delivery. Teaching also represents a valuable source of additional income for students. It must be stressed, however, that the scope for such employment varies widely between different Departments and students should not assume that teaching opportunities will always be available.

The University accepts the following broad principles relating to the employment of graduate students as teachers:

- Other things being equal, teaching work in Departments should be shared out among graduate students as fairly as possible. No graduate students will be expected to teach unless they wish to, unless this is a condition of their bursary or scholarship.
- All graduate students employed by the University should be assessed for their suitability before appointment.
- Full-time research students will be restricted to maximum of 180 working hours per annum, including preparation time and marking. This figure is based on the rules relating to Research Council studentships.

- Students involved in teaching should be given a written specification detailing their duties, including the total number of hours required in preparation, class contact hours and marking, the payment involved and the method of payment. University recommended rates of payment should be used.
- All students involved in teaching should receive guidance and help regarding course content and delivery methods from the member of academic staff concerned and it is good practice for them to attend training courses covering the necessary teaching skills required.
- Students involved in demonstrating will be given prior experience with equipment concerned and guidance regarding expected results and any potential problems.
- Each Department should have a member of staff responsible for the co-ordination of teaching undertaken by graduate students.
- Responsibility for delivery and examination of modules taught by graduate students remains with the member of academic staff concerned.
- Graduate students formally employed by the University are covered by the University's professional indemnity insurance policy in the same way as other members of staff.

The current minimum hourly recommended rates of pay for graduate students are as follows:

Studio demonstrating, marking, course planning: £8.00
Laboratory demonstrating, fieldwork up to one day's duration: £12.10
Small group tutorials, problem classes: £16.20
Large group tutorials: £24.40

Payments will be authorised by Departments on the completion of teaching duties or at the end of each semester in which teaching is undertaken, whichever comes first.

The University has introduced a Teaching Skills Research Training Programme unit and there is also a more extensive two year Postgraduate Certificate in Higher Education. Both courses are available to graduate research students who are also part-time teachers in the University. Further details are available in the Research Training Programme Handbook. Short courses for graduate students employed as teachers are also offered via the Staff Development Unit on request from academic Departments.

The University has developed a protocol to manage the dual relationship that students may have with the University as both students and also employees or workers. The protocol clarifies procedures that may be invoked should action need to be taken against a student in either capacity. This is available at: <a href="www.shef.ac.uk/hr/services/employmentrelationships/students.html">www.shef.ac.uk/hr/services/employmentrelationships/students.html</a>

#### **International Students**

Up-to-date information for international students about working in the UK on a visa can be found on the following website www.sheffield.ac.uk/ssid/international/sheet2.html

# Thesis Preparation, Submission and Examination

## Use of unfair means in the assessment process

The University expects its graduates to meet certain criteria relating to good academic practice. (See the University's Learning. Teaching and Assessment Strategy for a complete list of the characteristics of the Sheffield Graduate) These include:

- being able to carry out independent enquiry and engaging critically with a wide range of evidence;
- demonstrating that you can use and handle information in a professional and ethical way;
- demonstrating that you are fit to practice in your chosen professional field, meeting the requirements of relevant statutory bodies;
- being able to work as a constructive member of a team;
- being able to communicate effectively both orally and in writing.

Throughout your programme of study at the University you will learn how to develop these skills. Your assessed work is the main way in which you demonstrate that you have acquired and can apply these skills and characteristics. Using unfair means in the assessment process is dishonest and means that you cannot demonstrate that you have acquired these essential academic skills.

#### What constitutes unfair means?

The basic principle underlying the preparation of any piece of academic work is that the work submitted must be your own work. Plagiarism, submitting bought or commissioned work, double submission (or self plagiarism), collusion and fabrication of results are not allowed because they violate this principle (see definitions below). Rules about these forms of cheating apply to all assessed and non-assessed work.

- 1. Plagiarism (either intentional or unintentional) is the stealing of ideas or work of another person (including experts and fellow or former students) and is considered dishonest and unprofessional. Plagiarism may take the form of cutting and pasting, taking or closely paraphrasing ideas, passages, sections, sentences, paragraphs, drawings, graphs and other graphical material from books, articles, internet sites or any other source and submitting them for assessment without appropriate acknowledgement.
- 2. Submitting bought or commissioned work (for example from internet sites, essay "banks" or "mills") is an extremely serious form of plagiarism. This may take the form of buying or commissioning either the whole assignment or part of it and implies a clear intention to deceive the examiners. The University also takes an extremely serious view of any student who sells, shows or passes on their own assignments to fellow students.

- 3. Double submission (or self plagiarism) is resubmitting previously assessed work on one or more occasions (without proper acknowledgement). This may take the form of copying either the whole assignment or part of it. Normally credit will already have been given for this work.
- 4. Collusion is where two or more people work together to produce a piece of work, all or part of which is then submitted by each of them as their own individual work. Collusion does not occur where students involved in group work are encouraged to work together to produce a single piece of work as part of the assessment process.
- **5. Fabrication** is submitting work (for example, practical or laboratory work) any part of which is untrue, made up, falsified or fabricated in any way. This is regarded as fraudulent and dishonest.

#### How can I avoid the use of unfair means?

To avoid using unfair means, any work submitted must be your own and must not include the work of any other person, unless it is properly acknowledged and referenced.

As part of your programme of studies you will learn how to reference sources appropriately in order to avoid plagiarism. This is an essential skill that you will need throughout your University career and beyond. You should follow any guidance on the preparation of assessed work given by the academic department setting the assignment.

You are required to attach a **declaration form** to all submitted work (including work submitted online), stating that the work submitted is entirely your own work.

If you have any concerns about appropriate academic practices or if you are experiencing any personal difficulties which are affecting your work, you should consult your personal tutor or a member of staff involved with that unit of study.

The following websites provide additional information on referencing appropriately and avoiding unfair means:

Library Information Skills Resources include specific tutorials on how to avoid using unfair means. Details of how to access these via MUSE can be found at

www.shef.ac.uk/library/services/infoskills.html

The **Library** also has information on reference management software

www.shef.ac.uk/library/refmant/refmant.html

The English Language Teaching Centre operates a Writing Advisory Service through which students can make individual appointments to discuss a piece of writing. This is available for all students, both native and non-native speakers of English.

www.shef.ac.uk/eltc/services/writingadvisory

#### What happens if I use unfair means?

Any form of unfair means is treated as a serious academic offence and action may be taken under the Discipline Regulations. For a student registered on a professionally accredited programme of study, action may also be taken under the Fitness to Practise Regulations. Where unfair means is found to have been used, the University may impose penalties ranging from awarding a grade of zero for the assignment through to expulsion from the University in extremely serious cases.

#### **Detection of Unfair Means**

The University subscribes to a national plagiarism detection service which helps academic staff identify the original source of material submitted by students. This means that academic staff have access to specialist software that searches a database of reference material gathered from professional publications, student essay websites and other work submitted by students. It is also a resource which can help tutors to advise students on ways of improving their referencing techniques. Students should submit their thesis to this service, obtain an originality report and have this approved in accordance with departmental procedures. Guidance will be provided in the copyright session.

For further information:

www.shef.ac.uk/ssid/charter/guidance\_taught.html www.shef.ac.uk/ssid/procedures/grid.html#discipline

### **Use of copyright material**

The use of material owned by a third party - another author, photographer, publisher, etc. - is governed by the Copyright Designs and Patents Act 1988 (UK) and the Copyright Regulations 2003 (European Directive). It is considered acceptable to include third party copyright material in a printed thesis without gaining copyright clearance from the holder but submission of the electronic thesis requires prior clearance to be obtained.

If the material concerned is an insubstantial short quotation from a published work that has been acknowledged and referenced accurately it will not be necessary to seek permission from the copyright holder. This is allowed under 'fair dealing' exceptions under the Act for 'non-commercial private research' or 'criticism and review'. Copyright law does not define what is meant by insubstantial use of short extracts or quotations so it may be best to seek permission if in doubt.

In order to seek permission students should contact the copyright holder. If the material is from a published book or journal it is best to contact the publisher of the work in the first instance. Many large publishers have Rights and Permissions departments used to dealing with copyright clearance; email addresses can often be found on publishers' websites. It is important to realise that no response DOES NOT mean permission is granted. Where permission to use the third party copyright material is obtained, students should make sure that clear acknowledgements are provided within the thesis.

If clearance is not obtained to use substantial sections of copyright material that are considered essential by the student to be used in their entirety, there are two options: i) embargo the electronic thesis, though submission to the University in this format is still required, making the printed copy fully available; or ii) edit the electronic thesis - but not the printed copy - so that it complies with third-party copyright requirements, clearly indicating the excisions made.

Further information can be found at: www.shef.ac.uk/library/services/copyetheses.html

All newly-registered research students are required to attend a one-hour compulsory session on copyright clearance; avoidance of unfair means in theses will also be covered. Sessions are delivered in Faculty groups on the following dates:

Faculty of Arts: 4 November 2008 - 10.00-11.00

Faculty of Social Sciences: 5 November 2008 - 10.00-11.00

Faculty of Pure Science: 6 November 2008 - 10.00-11.00

Faculty of Medicine: 11 November 2008 - 10.00-11.00

Faculty of Engineering: 12 November 2008 - 10.00-11.00

Venues will be confirmed closer to the events. Repeat sessions for new PGRs will be offered in February 2009 and June 2009.

# Guidelines on the preparation and format of theses for research degrees

Students are advised to familiarise themselves with the following guidelines before commencing work on the preparation of their thesis. They are also advised to consult their supervisor regarding any subject-specific aspects of the thesis, for example the inclusion of photographs or diagrams or the presentation of supplementary information such as CDs or DVDs. Permission to submit non-standard material should be gained by the student's supervisor from Faculty prior to the thesis being submitted to Research Services.

#### **Regulations for theses**

The University's regulations relating to the submission of theses can be found at www.sheffield.ac.uk/calendar/

#### Writing the thesis

The University has introduced a system for the electronic submission of theses, which is mandatory for students commencing their research degree from the start of the 2008-09 session. Students commencing before 2008-09 are not required to submit their thesis in electronic format, but are strongly encouraged to do so.

Preparation for including in the thesis material owned by another person should not wait until writing-up but start at the beginning of your research. The correct use of third-party copyright material and the avoidance of unfair means are considered very seriously by the University and compulsory training sessions are being held on the following dates:

Faculty of Arts: 4 November 2008 - 10.00-11.00

Faculty of Social Sciences: 5 November 2008 - 10.00-11.00

Faculty of Pure Science: 6 November 2008 - 10.00-11.00

Faculty of Medicine: 11 November 2008 - 10.00-11.00

Faculty of Engineering: 12 November 2008 - 10.00-11.00

The main source of advice and information for students beginning to write their thesis is the supervisor. It is important that students discuss the structure of the thesis with their supervisor, together with the schedule for its production, and the role of the supervisor in checking drafts, at an early stage in their research programme. The supervisor will also advise on such matters as undertaking a literature review, referencing and formatting the thesis, and generally on what should or should not be included in the thesis.

A number of University courses are available that might prove helpful to students, e.g. academic writing courses run by the English Language Teaching Centre (ELTC). There are also units in the RTP on thesis production. The Graduate Research Centre also holds short seminars on related subjects (register at <a href="www.sheffield.ac.uk/pgresearch/grc/seminarinfo.html">www.sheffield.ac.uk/pgresearch/grc/seminarinfo.html</a>) and a video, Thesis Writing, is also available to be viewed in the Centre. The Centre also has a range of reference books on a whole range of subjects relating to research degree programmes.

There are a number of excellent books available that offer advice on how to start, write and complete a thesis. These are available on loan from the University Library and to buy.

Many students have found it helpful to meet with people who have recently submitted their thesis and can therefore pass on their experience first hand. The Department may be able to facilitate this. It is normally also possible to consult recently submitted theses in the University Library.

Early familiarity with the software packages a student will use to produce the thesis will prove helpful. The University's Managed XP computing network hosts a whole range of software that may be of help to research students, over and above the Microsoft Office suite.

Please visit the following website: www.sheffield.ac.uk/cics/support/software

#### Preparing to submit

Whilst the responsibility for the writing, preparation and submission of the thesis rests with the student, it is expected that the supervisor will read and comment on the draft, with a view to enabling the student to produce a thesis which is coherent, well-documented and written in good English. It is the student's, rather than the supervisor's, responsibility to decide when to submit, taking into account the supervisor's opinion, which at this stage is advisory only. Occasionally, a student may choose to submit his/her thesis without the knowledge or approval of the supervisor. Whilst students are within their rights to do so, it should be noted that Research Services is unable to accept a submission without a supervisor's signature on the Application to Read form. The University strongly recommends that all students inform their supervisor when they are intending to submit. Failure to do so may also result in delays in the examination process, as examiners may not have been appointed at the time of submission.

#### **Number of copies required**

#### First Submissions and Resubmissions

Three properly bound copies of the thesis must be submitted to Research Services. One of the bound copies is the University Library's copy, the other two are issued to examiners.

- The first copy will be deposited in the University Library following conferment of the degree. This copy must be properly bound using the University Print Service's 'fastback' binding service (see section on Reproduction and Binding that follows). This copy must also contain the completed Access to Thesis form.
- The second copy may be retained in the Department's library once returned by the Internal Examiner.
- The third copy may be retained by the External Examiner.
- The final examined and awarded thesis must be uploaded to White Rose Research Online <eprints.whiterose.ac.uk>, to provide the electronic copy.

A fourth copy should be retained by the student.

Should any copies be returned by examiners to Research Services these will be returned to the student.

Students who are unable to have their thesis properly bound prior to submission may submit using a temporary binding, provided that it is not loose-leaf. However, it should be noted that the degree will not be awarded until a properly bound copy, to be deposited in the University's Library, has been submitted to Research Services, and the electronic copy deposited to White Rose Research Online.

#### **Minor Amendments**

Should you be required to make minor amendments to your thesis following your oral examination (please see sections on *After the Oral Examination and Minor Amendments* which follow), the final Library copy of your thesis should be submitted to Research Services, and the final examined and awarded thesis uploaded to the White Rose Research Online <eprints.whiterose.ac.uk>, to provide the electronic copy. This should be done as soon as the appointed examiner has approved the amendments, otherwise it could delay the award of your degree.

#### **Summary of thesis**

By regulation, the summary/abstract (which should be prepared by the candidate in consultation with the supervisor) should not exceed 300 words in length. Each bound copy of the thesis must contain a summary/abstract within it, and one further loose copy should be submitted with the thesis to Research Services. The University Library has requested that the spare copy of the summary be printed in single spacing on one side only of an A4 sheet.

#### 'Access to thesis' form

The copy of the thesis designated as the Library copy MUST contain a completed declaration signed by the student and the supervisor concerning access to the thesis once it has been deposited in the Library. Copies of the 'Access to thesis' form are available from Research Services and from the web site at www.sheffield.ac.uk/pgresearch/students/ forms.html

Students should note that Research Services cannot accept a thesis submitted in person without the Access to Thesis form having been signed by the supervisor (or another senior academic member of the Department if the supervisor is unavailable). If a thesis is submitted by post without the supervisor's signature on the Access to Thesis form, Research Services will contact the supervisor to advise them that their signature is required.

#### Size, paper and pagination

Students are advised to discuss the intended format of their thesis with their supervisor.

Theses should normally be ISO-A4 in size and no thesis should exceed 14" x 10". Good quality paper should be used. The Library copy of the thesis should be single-sided but the other two copies required for submission may be double-sided provided that legibility is not impaired.

Pages should be numbered consecutively throughout the thesis, including appendices. Students are advised to discuss with their supervisor whether or not photographs and/or diagrams which are not embodied in the text should be paginated.

Margins at the binding edge should be not less than 40mm and other margins not less than 20mm. Single spacing throughout the body of the text is acceptable, and is essential for indented quotations and footnotes, but consideration must be given to legibility. Double or 1.5 spacing may be more easily readable for 11pt or 12pt text and is more commonly used.

#### Referencing

In the first instance, students are advised to consult with their supervisor regarding acceptable methods of referencing within the thesis (i.e. the presentation of footnotes, bibliography, appendices, etc). The University Library provides online Information Skills tutorials, which detail the major referencing systems in use and outline variations across disciplines. The tutorials are freely available to all staff and students and easily accessible via MUSE. Details of how to consult them can be found at: www.shef.ac.uk/library/services/infoskills.html

If you feel you need additional help the Library also holds a number of useful guidebooks that outline and explain styles of referencing, or you can contact your subject Librarian.

The two principal criteria in any system of referencing are those of accuracy and consistency.

#### Title page

This should show:

- the full title of the thesis;
- the author's name in full:
- · the degree for which the thesis is submitted;
- the Department in which the work has been carried out;
- the date (month and year) of submission.

#### **Outside cover**

The front cover and/or spine must show:

- the name 'The University of Sheffield' and logo;
- the initials and surname of the author;
- the full title of the thesis;
- the degree for which the thesis is submitted;
- the year of submission.

#### Reproduction and binding

Theses should be word processed and good printing quality is essential. If there is a top copy this should contain the best photographic prints (if any), as this copy will eventually be placed in the University Library.

To comply with the British Library's procedures for the microfilming of theses, the University's regulations require that one copy of each thesis is submitted in the 'fastback' format currently supplied by the University Print Service. Other copies may be bound using a different method should the student wish (e.g. traditional book binding).

The University Print Service provide a thesis binding service using a 'fastback' binding system. All theses are bound in black PVC covers, with inner card at front and back. Details (e.g. name of student, title of thesis and date of submission) are gold foiled onto the spine of the document. There is an option to personalise the front cover of the thesis in the same method and also an option to have the thesis hard bound. The maximum thickness of a bound volume is 35 mm with covers (or 32 mm without covers). Therefore if the unbound copy of a student's thesis is more than 32 mm thick, the thesis will have to be bound into two volumes. Where two volumes or more are required, normal practice is for the bibliography and/or appendices to form the second volume. In such cases, the thesis will require two title pages, which specify 'Volume 1' and 'Volume 2' respectively, however only one contents page is required (this should appear in Volume 1). If amendments are required after the oral examination has been held, volumes can be rebound by the University Print Service following the insertion of new pages.

When ordering thesis binding from the University Print Service, students must order at least one deposit copy, currently designated as thesis type T1 on the order form.

Before submitting work for binding, students are advised to make sure that the material is in good order, as pages are bound strictly in the order in which they are delivered. Items for rebinding should have the new pages inserted by the author. Only A4 size pages are accepted by the University Print Service. Binding work is usually completed within 48 hours of acceptance. However, at times when many graduate students are submitting theses, particularly at Easter and from late July to early November, demand may be such that it may not be possible to complete all work within 48 hours. Students are advised to present their work in good time for binding or alteration. No work can be promised in less than 48 hours.

The University Print Service also offer a hard-back binding service. The maximum number of pages to be bound is approximately 360 and it is recommended that 100gsm A4 paper is used (although 80gsm is acceptable). The cover can be personalised but the spine cannot.

Further information can be found at: www.sheffield.ac.uk/cics/uniprint

#### **Checklist for Submission**

	1st Submission	Resubmission	Minor Amendments
Prior to the submission of your thesis, ensure that your Faculty has approved the inclusion of any non-standard materials, e.g. CD or DVD	Yes	Yes	Yes
Your supervisor should nominate examiners, who are available to examine your thesis within ten weeks, well in advance of your first submission	Yes		
Check the guidelines in the <i>Code of Practice</i> to ensure your thesis is within the recommended word limit for your Faculty.	Yes	Yes	Yes
An originality report is obtained from Turnitin and approved in accordance with departmental procedures	Yes	Yes	
Third-party copyright clearances obtained and acknowledged	Yes	Yes	
Ensure you have an abstract, or summary, not exceeding 300 words.	Yes	Yes	Yes
<ol> <li>Title Page should show:</li> <li>Full title of thesis</li> <li>Author's name in full</li> <li>Degree for which the thesis is submitted, e.g. PhD</li> <li>Academic Department</li> <li>Date (month and year) e.g. September 2008</li> </ol>	Yes	Yes	Yes
Outside Cover should show:  1. The University name and logo 2. Initial and surname of author, e.g. J Smith 3. Full title of thesis 4. Degree for which the thesis is submitted, e.g. PhD 5. Year of submission, e.g. 2008	Yes	Yes	Yes
Thesis to be printed on A4 paper	Yes	Yes	Yes
Margins at the binding edge should be not less than 40mm and other margins not less than 20mm	Yes	Yes	Yes
Page numbers to be numbered consecutively including appendices	Yes	Yes	Yes
If the thesis is more than 32mm thick, it should be bound in two or more volumes	Yes	Yes	Yes
Three copies of the thesis (two for examiners, one for the Library) to be submitted to Research Services. Also one copy of the abstract or summary.	Yes	Yes	
One final Library copy of the thesis to be submitted to Research Services. Also one copy of the abstract or summary.			Yes

#### **Word limits**

No University regulation exists governing the length of theses, although a number of Faculties and Departments have established guidelines. Where these are not available, the student should consult the supervisor as to the length of thesis appropriate to his/her particular topic of research. It should, however, be noted that brevity achieved without sacrifice of clarity is a virtue much appreciated by examiners.

The following Faculties/Schools have published guidelines on thesis length:

Arts

40,000 words (MPhil) 75,000 words (PhD)

Law

40,000 words (MPhil, LLM) 75-80,000 words (PhD)

Medicine

40,000 words (MPhil) 75,000 words (PhD, MD)

**Pure Science** 

40,000 words (MPhil) 80,000 words (PhD)

Social Sciences

40,000 words (MPhil) 75,000-100,000 words (PhD)

The above word limits exclude footnotes, bibliography and appendices.

# **Appointment of examiners**

Examiners should normally be appointed well in advance of the thesis being submitted and it is essential, therefore, that the student should make his/her supervisor aware of the expected submission date so that a recommendation for the appointment of examiners can be made to the Faculty. Supervisors are requested to ensure that proposed examiners are available to examine the thesis and complete the paperwork within ten weeks of receiving it to ensure the student's progress is not delayed.

Students who choose to submit their thesis without first informing their supervisor should note that this may result in delays in the examination process if the examiners have not yet been appointed.

In cases where the proposed examiner holds an appointment other than equivalent to Professor, Reader, or Senior Lecturer or is from a non-university establishment, a supporting statement should be attached when completing the Appointment of Examiners form, outlining the suitability of the nominee. Honorary members of the University's academic staff may act as internal examiners, provided they have necessary experience of the University's examination procedures and provided they will continue to hold their honorary status for the duration of the examination process.

The form to be completed by supervisors is available from <a href="https://www.sheffield.ac.uk/pgresearch/students/forms.html">www.sheffield.ac.uk/pgresearch/students/forms.html</a> and requires the Head of Department's/Postgraduate Tutor's signature. The form is then forwarded, along with any supporting information on the proposed examiner's qualifications, to Research Services for Faculty approval.

At least two examiners must be appointed, neither of whom has had any direct involvement in the student's research project. At least one examiner must be an external examiner. Most University staff candidates are required to have two external examiners. In cases where there is no suitably qualified member of staff other than the supervisor, two external examiners are normally appointed. In all cases, examiners must be formally appointed before the thesis is despatched to the examiners and the date of the viva voce examination is set.

Should an occasion arise where an examiner must be replaced, a form for this purpose is available from <a href="https://www.sheffield.ac.uk/pgresearch/students/forms.html">www.sheffield.ac.uk/pgresearch/students/forms.html</a>. The same procedure applies as for the original appointment form.

#### **Examiners Fees and Expenses**

Expenses and fees for examiners should be claimed through Research Services, not the academic department, in line with the guidelines issued to examiners with the thesis.

#### **Submission of theses**

Theses for higher degrees by research should be submitted to Research Services (not to the student's Department).

Subject to the examiners having been appointed, a thesis submitted to Research Services will normally be dispatched to the examiners within 72 hours. This will be delayed if examiners have not been approved in advance of submission.

The final, examined and awarded thesis must be uploaded by the student to White Rose Research Online <eprints.whiterose.ac.uk> otherwise the degree will be withheld. In agreed circumstances, an electronic version in physical format (e.g. CD or DVD) will be considered to satisfy this requirement.

If possible, students are asked to submit their thesis in person, so that relevant details, such as contact addresses may be confirmed and the student informed of any outstanding fees which, if unpaid, may result in the degree being withheld.

If unable to submit in person, students should contact Research Services to ensure that their thesis has been received, their records updated, and to check if there is any further information required. Failure to contact Research Services under these circumstances may result in a delay in the thesis being processed.

Staff candidates are required to pay a fee on submission of the thesis. In 2008-09 this will be £357.

Payments required upon the submission of any thesis may be made at Research Services by cash, personal cheque, bankers draft, credit card or debit card. Payments to be made by credit/debit card can also now be made on-line at www.sheffield.ac.uk/payments/

#### **UCard from submission of thesis**

Should a student's time limit run out once they have submitted their thesis (but before their award has been made), they are required to go to the Computer Centre and have the card renewed for a period of three months. This process can be repeated until their award is made. If a student is required to resubmit then their time limit is changed. Therefore they can acquire a new card in line with the new time limit on their student record.

# Arrangements for the oral examination (viva voce)

A booklet, *Guidance Notes for Examiner*, is issued to examiners with their appointment letter and also to the internal co-ordinator of a staff candidate.

The booklet contains information on:

- · The examination of the thesis;
- Arrangements and conduct of the oral examination;
- Report on the examination;
- Responsibilities of Examiners;
- Responsibilities of others.

The booklet is available to research students and supervisors on request from Research Services.

Examiners are advised on appointment that the oral examination should normally take place within ten weeks of receipt of the thesis. This is an expectation, not a mandatory requirement. A period of at least one month can be expected to elapse between submission of the thesis and the oral examination, and a student who intends to leave Sheffield on a specified date, for example to return to a post overseas, should ensure that a reasonable amount of time is available after the oral examination in case it is necessary to consult the supervisor on any revision or amendment to the thesis which the examiners may require.

It is the responsibility of the internal examiner or coordinator to arrange the date, time and venue for the oral examination and to inform the candidate of the arrangements which have been made. Before doing so, the internal examiner/co-coordinator should ensure that the examiners have been formally appointed and have received the thesis. (Please note that Research Services cannot make arrangements for the oral examination.)

In cases where two external examiners are appointed, departments are asked to nominate a member of academic staff to act as internal co-ordinator and to liaise with the examiners and the student concerning the arrangements for the oral examination.

Prior to the oral examination the examiners are required to read the thesis and independently to prepare written reports on it. Examiners are advised that their judgment of the thesis should be based on what may reasonably be expected of a

diligent and capable student after completion of the prescribed period of research for the degree for which the thesis has been submitted.

Oral examinations are normally held within the University. The student's supervisor may not attend unless the student and the examiners have agreed his/her presence in advance.

The supervisor should, however, be available prior to and following the oral examination, i.e. to offer advice and support to the student, and the issue of whether he/she is to be present should have been raised with the student.

If present, the supervisor should enter and leave the room at the same time as the student and should participate in the discussion only if asked to provide clarification on a specific matter.

In cases where two external examiners have been appointed, the internal co-ordinator will sit in on the oral examination to ensure that University procedures are followed. The internal co-ordinator will play no other part in the examination.

#### **Conduct of the oral examination**

The examination will normally be conducted under the chairmanship of the external examiner. At the oral examination the examiners together test the student's knowledge of matters relevant to the subject of the thesis. The purpose of the examination is to enable the examiners to clarify any ambiguities in the thesis, to satisfy themselves that the thesis is the student's own work, that the student is familiar with the relation of his/her work to the field of study and that his/her knowledge and understanding of related fields in the subject are of the standard expected for the award of the degree. On completion of the oral examination, the Examiners will normally advise the candidate of their intended recommendation to the Faculty.

# Attendance at the oral examination

The oral examination is an integral part of the examination process and attendance is compulsory. Failure to attend a pre-arranged oral examination without prior notification and good reason (notification to the supervisor and/or internal examiner), may result in the student failing the oral examination and ultimately failing their degree.

# Uploading the final version of the thesis

Information on how to upload the final, examined and awarded thesis to the White Rose Research Online server can be found at <a href="https://www.sheffield.ac.uk/pgresearch/howto/uploadingetheses.html">www.sheffield.ac.uk/pgresearch/howto/uploadingetheses.html</a>

#### **After the oral examination**

After the oral examination, the examiners complete a report that is sent to Research Services for Faculty approval. Unless there is some disagreement between the examiners as to the appropriate recommendation (a very rare occurrence), this is a joint report, to which the preliminary reports completed prior to the examination are appended. Unless minor amendments are required, the report form will be returned to Research Services within four weeks of the date of oral examination. Where minor amendments are required, the student will be informed of these by the examiners and is allowed three months from the date of notification to make the amendments. The agreed examiner will then approve the revised thesis and return the report form to Research Services. It is the responsibility of the student to submit the final, approved Library copy of the thesis to Research Services (please also refer to the *Minor Amendments section* which follows).

The recommendations open to the examiners following the oral examination are as follows for the degree of PhD (options for other degrees vary and are detailed in the *Guidance Notes for Examiners*):

- that the degree be awarded;
- that the degree be awarded once specified minor amendments have been completed to the satisfaction of the examiners;
- that the degree be not now awarded but that the candidate be allowed to undergo a further oral examination without modification of the form or content of the thesis;
- that the degree be not now awarded but that the candidate be allowed to submit a revised thesis after such modification of form or content as the examiners may prescribe, with/without oral re-examination;
- that the degree be not awarded.

In addition, examiners for the degree of PhD may also make either of the following recommendations:

- that the degree of PhD be not awarded but that the degree of Master be awarded (subject only to the necessary changes to the cover and title page of the thesis);
- that the degree of PhD be not awarded but that the candidate be allowed to submit a revised thesis for the degree of Master after such modification of form or content as the examiners may prescribe, with/without oral re-examination.

#### **Minor amendments**

It is often the case that the examiners wish to recommend the award of the degree subject to the completion of minor amendments to the thesis. This is an option which may be exercised when the nature and extent of the required amendments are such that they can reasonably be completed within a period of three calendar months from the date of notification of the amendments. If more extensive amendment is required, then the examiners will recommend a formal resubmission of the thesis.

A student who is required to make minor amendments to his/her thesis should submit his/her revised thesis to the Internal/External Examiner as instructed. Once this is approved a new, correctly bound copy of the updated thesis must be lodged with Research Services for the University Library.

When minor amendments are required, the internal examiner will advise the student of the timescale for completion of the amendments. The internal examiner will normally act on behalf of both examiners to confirm that these have been satisfactorily completed and will then return the report form to Research Services. Exceptionally, the three calendar months time-limit may, for good reason, be extended by the Faculty concerned. An application form is available from Research Services and the standard continuation fee will be levied.

One bound copy, including the completed Access to Thesis form, should be submitted to Research Services for deposit in the University Library. Students should provide a further bound copy for their department, should their department require this. It is recommended that students also print and bind a copy for their own personal use.

Information on how to upload the final, examined and awarded thesis to the White Rose Research Online server can be found at <a href="https://www.sheffield.ac.uk/pgresearch/howto/uploadingetheses.html">www.sheffield.ac.uk/pgresearch/howto/uploadingetheses.html</a>

Students may retrieve the original University Library copy of their thesis from Research Services in order to make the necessary changes, should they wish to do so.

#### **Guidance on resubmission**

In cases where a formal resubmission is required, examiners are advised that their report should contain detailed advice to the student on the necessary amendments and improvements. The student will receive formal notification of the requirement to resubmit by letter from Research Services and will at this stage be able to request from the Faculty representative a copy of the examiners' report.

A student required to resubmit his/her thesis is allowed 12 months from the date of formal notification from Research Services in which to do so. Where an extension to this time limit is requested, it will be considered by the Department, the Faculty Officer, and, where appropriate, the Pro-Vice Chancellor for Research, in the normal way.

The three copies of the revised thesis should be submitted directly to Research Services following the same procedures as apply to a first submission. The thesis should not be sent to either of the Examiners prior to formal resubmission. Research Services can only accept a resubmission once the student has been formally notified in writing of the examiners decision on the first submission.

Note: For resubmissions the title page and front cover should be changed to show the date of the resubmission only, however it is not necessary to state 'Resubmission'.

If a re-examination with oral is required, this will normally take place within 10 weeks of receipt of the thesis by the examiners.

If a re-examination without oral is required, we would ask that the re-examination be completed within approximately 6-8 weeks of the examiners' receipt of the revised thesis. If no minor amendments are required, the report form should be completed and returned to Research Services as soon as possible. If minor amendments are required, the student is allowed three calendar months in which to complete the amendments from the date of notification. The examiners' report form should be returned to Research Services once the examiners have approved the amendments.

A fee is payable on resubmission. For 2008-09 this will be £210 for resubmission without oral examination and £285 for resubmission with oral examination.

It is the responsibility of the student to collect all three copies of his/her thesis to complete the amendments prior to resubmission.

Students who are required to resubmit will have their time limit changed. Therefore a new UCard can be acquired in line with the new time limit on their student record.

# Award and conferment of degrees

Notification of the award of a degree will be sent to successful students by Research Services and will be posted to the correspondence address held on the student's record. Students are responsible for ensuring that their addresses are kept up-to-date on the student record. This is essential so that important University correspondence is received promptly.

Whilst every effort is made to keep to a minimum the delay between receipt of the examiners' report by the Faculty and formal notification by Research Services of the award of the degree, students should be aware that the process can take a number of weeks. Any student who requires urgent notification of his/her result, for example for employment purposes, should contact Research Services.

Once a degree has been awarded, details will be forwarded to the Events Team, which will arrange for the formal conferment of the degree. The Events Team will then issue an invitation to the next available Degree Congregation, which will normally be held in the December/January or July following the award. Any enquiries concerning the likely date of conferment of a degree should be addressed to the Events Team on (0114) 222 8828 or e-mail ceremonies@sheffield.ac.uk.

After the degree has been conferred, a student may use the title to which their award refers (Doctor of Philosophy, Master of Philosophy, etc).

It should be noted that although as many students as possible are invited to the first Congregation to be held after the award of their degrees, it is not always possible to include all candidates at a particular ceremony; students should not therefore assume that they will automatically be included in that ceremony even if Departments and supervisors indicate that this will be the case. Only the Events Team has the authority to confirm invitations to particular ceremonies.

Students graduating in person will receive their degree certificates at the Congregation. Students who choose to graduate in absentia may request their certificates by post from the Events Team.

# Help if issues arise

### **Complaints procedure**

Where difficulties arise it is important to seek to address these as early as possible. Many difficulties can be resolved at an early stage by talking informally with the individual(s) most concerned with the issue at a local level. Briefly, students should initially raise any complaints with their supervisor. If they cannot be resolved, they must report the complaint to the Graduate Tutor or the Head of Department, and, if appropriate, the Faculty. The Department must ensure that complaints procedures are operational and effective. However, as noted above, it is the responsibility of students to ensure that any problems are raised at the appropriate level and at the earliest opportunity. Students can also seek advice from the Student Advice Centre in the Students' Union at this stage.

In the event that such difficulties cannot be resolved informally, the University has formal procedures for making complaints, whether about the delivery and quality of services received (i.e. non-academic matters), or about the delivery or quality of research supervision or any other matters relating to the programme of study.

Details of the procedures are contained in the Students' Charter, copies of which are available from the Advice Centre in the Union of Students, Research Services and from the Student Services Information Desk. Complaints procedures and a complaint form are also available at:

http://www.shef.ac.uk/ssid/procedures/grid.html#complaints

Research Services can provide impartial advice to students and to departments on procedural matters.

## **Academic Appeals regulations**

Under these Regulations, a student may apply for a recommended examination result to be re-considered in the light of new evidence.

General regulations and procedures for Academic Appeals can be found at

www.sheffield.ac.uk/ssid/procedures/grid.html#academic

As well as the procedures listed above there is a procedure for complaints about personal harassment. Details can be found at

www.sheffield.ac.uk/ssid/procedures/grid.html#harass

# Additional Information, Facilities and Support

#### **Dates of semesters**

#### 2008-09

Intro Week: 22 September - 28 September 2008

Autumn Semester: 29 September - 20 December 2008

19 January - 07 February 2009

Spring Semester: 09 February - 04 April 2009

27 April - 13 June 2009

### **Health and Safety**

The University attaches great priority to Health & Safety for its staff and students. This requires the full co-operation of everyone to ensure such standards are maintained.

Staff and students are encouraged, not only to comply with the relevant legislation and codes of practice but to maintain a safety culture where hazards are identified, assessed and risks are kept to a minimum.

A copy of the University's Code of Practice for Health & Safety is available on the Safety Services website and should be downloaded by all researchers.

#### Supervision

The University has a legal duty to provide "such supervision as is necessary" to ensure the health and safety of all students, including researchers. Relying solely on a student's graduate status or general competence cannot discharge this duty.

The duty to supervise is delegated to the appropriate Head of Department and thence to the member of staff directly responsible for the student (the supervisor).

Departmental management must be able to demonstrate that they have effective supervisory measures in place. Within a department this will include risk assessment, safe systems of work and personal monitoring arrangements, appropriate to the task in hand. All research students must undergo initial training, at which the operation of such measures is explained in a practical sense.

#### Research projects

For research projects, effective or adequate supervision does not necessarily mean a constant direct presence. Where this is required, it may be carried out, if necessary by another authorised member of staff, provided that they have the necessary qualifications, knowledge and skills.

It is the responsibility of the supervisor to ensure that:

- 1. The project is properly assessed:
  - (i) for compliance with the law;
  - (ii) for compliance with existing Departmental procedures;
  - (iii) for compliance with the University local rules (e.g. for radiation, micro-organisms or genetic modification work);
  - (iv) to assess risks and safety precautions required (note that the law requires risk assessment to be written down unless the risks are insignificant).
- Any precautions which are necessary are agreed between the supervisor and the student and these should be documented.
- 3. Regular checks are carried out by the supervisor (or authorised nominee) to ensure that the student is actually following the agreed procedure.
- 4. It has been made clear to the student that:
  - Any alteration to the agreed methods must be discussed with the supervisor before being put into practice. These alterations must be agreed and documented.
  - (ii) They also have a responsibility not to endanger themselves and others by their actions. They also have a duty to co-operate with those in authority over health and safety matters.

#### Risk assessment and levels of supervision

A full risk assessment must be carried out and generally written down for the proposed procedure before any experimental or practical work is undertaken.

Appropriate controls must be in place, including any safe systems of work, necessary safety equipment and personal protective gear. Supervisors must ensure that the student has read the assessment and understood its implications. The form must be signed by both the student and the supervisor.

General standards for similar work must be the same throughout a department.

After a full risk assessment has been completed, the following scheme for determining the level of supervision may be adopted:

- A The work may not be carried out without the direct supervision of a designated member of staff continuously present in the room where the work is being carried out.
- B The work may not be started without the task supervisor's advice and approval, which may involve additional training in the procedures and, in the initial phase of work, require the direct supervision of a designated member of staff continuously present in the room where the work is being carried out.

- C The work may not be started without the task supervisor's advice and approval, but may be carried out without direct supervision once additional training in procedures involved has been received.
- D Work where extra care must be observed but where it is considered that workers are adequately trained and competent in the procedures involved.
- E Work where risks are insignificant and carry no special supervision considerations. This is the only category where some (but not all) activities may be suitable for out-of-hours work.

Remember that the concept of information, instruction and training and supervision is enshrined in the law. There can be no defence for not applying these principles.

Bear in mind also that as a piece of work develops, safety and supervision requirements may change. The law requires risk assessments to take account of this and for them to be revised as appropriate.

If students are required to work out of hours, they must have undergone out-of-hours training within the last three years and fire training within the last 12 months. They must also have permission from their Head of Department and not work alone. The importance of signing in and out of the building must be emphasised to them. ANY work done out of hours must be in the lowest risk category, i.e. intrinsically safe.

Where those being supervised show a disregard for matters of safety, departments must implement appropriate disciplinary procedures to ensure the safety of the person concerned and anyone else who might be adversely affected, including any emergency and service personnel.

# Data Protection: implications for research

The Data Protection Act 1998 is concerned with data relating to living, identifiable individuals; how this data can be used; to whom it may be transferred and in protecting the rights of people regarding their own data. The University has a Personal Information Policy

(www.shef.ac.uk/cics/ guidelines/datapro/persinfopol) that sets out the legal requirements for members of the

that sets out the legal requirements for members of the University who process personal information for any reason. Personal data processed for research purposes is subject to special conditions as follows:

Personal data collected purely for research or statistical purposes is exempt from some of the requirements of the Act as long as the following conditions are met:

- individuals are not identified on publication
- no distress or damage is, or is likely to be, caused to an individual.

Unlike data collected for other purposes, research data may be used for further research studies, and by other researchers, although researchers should be mindful of any conditions implicit or stated to data subjects when the data was originally collected. Research data may also be kept indefinitely, and people whose data is studied as part of a research project do not have the automatic right to access that data, as they do if it is held for other purposes.

Data originally collected for research may not subsequently be used for non-research purposes.

If you have any queries on data protection or personal data matters, please contact the University Data Controller, Department of Corporate Information and Computing Services.

# Intellectual Property Rights and the exploitation of research

The term 'intellectual property' refers to the outputs of creative endeavour in scientific, engineering, industrial, literary and artistic fields that can be identified and protected under legislation relating to patents, trade-marks, copyright and design rights. Patents for new technology are of prime interest to scientists and engineers, although copyright can also be important (for example, for the protection of computer software). Like any other form of property, intellectual property can be sold, leased or mortgaged, so long as ownership has been established unambiguously. By providing security of knowledge, and establishing rights and rewards, intellectual property stimulates the innovation process.

The importance of undertaking research of value to the UK economy, with relevance to UK industry, is clearly recognised. However, it must be emphasised that, in pursuing their research, students cannot and should not be aiming specifically to generate intellectual property. The aim of their research must be to enhance knowledge and understanding within a particular subject area. This may or may not have commercial relevance. In this sense, intellectual property does not necessarily reflect the quality or importance of research.

Nevertheless, it is possible that in the course of research, results will be achieved or conclusions reached which have exploitable consequences. The ability of the student and the supervisor to recognise potentially exploitable research as it arises, and to take appropriate action, is enormously important.

Intellectual property rights arising from work undertaken by research students rest clearly with the University. It is a condition of registration that students agree formally to transfer or assign to the University any intellectual property rights resulting from the agreed programme of study and research. This requirement covers:

- the ownership of and the sole right to exploit any patentable invention or discovery made;
- all rights (including design rights and rights which are capable of registration under the Registered Designs Act 1949) in any design produced;

 copyright in (a) any computer programme and (b) any engineering drawing design capable of commercial exploitation.

The University is therefore free to exploit any intellectual property as it considers to be appropriate. However, it is expected that, following from recognition of the contribution made by the student and through natural fairness and justice, the University will grant to the student a reasonable share of any benefits accruing. It may be the case that the University does not wish to or is unable to exercise its rights of exploitation. In such cases, these rights may be returned to the student, either for an agreed period or on a permanent basis.

Where the whole or part of a student's programme of research is funded by, or involves the use of facilities provided by, a third party, under an agreement made with the University (such as a CASE award), the following provisions apply:

- The University may in its own name or as agent for the student transfer or assign to the third party rights regarding intellectual property.
- Students must, in accordance with any relevant terms of the agreement between the University and the third party, keep confidential all information relating to the work or business of the third party, acquired by the student doing that programme, or part programme of study. Such information must not be used for the student's own benefit or disclosed to any other persons except with the consent of the third party.
- These regulations apply to copyright in any work, including any report, essay, dissertation or thesis produced by the student during or as a result of their research programme.

Again, it is intended that these rules be applied in such a way as not to disadvantage the student as generator of intellectual property, nor to deter subsequent exploitation.

Circumstances will vary between different programmes of research and no single approach will apply in all cases. However, it is important that such arrangements provide for a suitable return to the institution and the student, that ownership reverts to the institution after an agreed period if exploitation is not pursued, and that there shall be no significant restrictions on the future research activity of individuals and that the academic freedom to publish is preserved. Whether the intellectual property rights are retained by the University or have been transferred or assigned to a third party, students must not without consent publish any work which might prejudice the exploitation of the rights.

These rules may appear very formal and restrictive. Remember that they are intended to safeguard the interests of all concerned, including students. If students or their supervisors believe there is commercially valuable / patentable property arising out of a particular research programme it must be registered. To register it is necessary to complete a Commercial Opportunities Disclosure form,

available via the Commercial Assessment System at <a href="https://www.sheffield.ac.uk/researchoffice">www.sheffield.ac.uk/researchoffice</a>. Sheffield University Enterprises Limited (SUEL), acting on behalf of the University, will then assess the commercial value of the concept and determine the exploitation route.

#### **Learned Societies Fund**

The Learned Societies Fund provides allowances towards expenses incurred in attending meetings of learned societies within the UK (other than Sheffield) and EU. Eligibility is restricted to academic and academic related staff, technical staff above trainee grade, and research students who do not obtain support for attending learned society meetings from their sponsors.

Claims may be made for:

#### **Meetings outside Sheffield**

- A contribution (maximum £28) towards the conference fee.
- Travel costs of a maximum of a standard second-class rail fare. If outside the UK and within the EU, the maximum claim would be £250.
- A maximum of three nights accommodation for any one meeting at the actual cost of accommodation and subsistence, subject to a maximum figure of £42 per night (£49 for inner London).
- If overnight absence is not necessary, a daily subsistence allowance is claimable.
- Taxi fares are only allowable to the value of £5.

#### **Meetings within Sheffield**

 Contributions towards conference registration fees are normally restricted to a maximum of £28.

Full guidance notes and a claim form can be found at <a href="www.sheffield.ac.uk/finance/usefulforms/learnedsociety.html">www.sheffield.ac.uk/finance/usefulforms/learnedsociety.html</a>. The claim form should be printed on yellow paper.

# Regulations

Research students and supervisors are advised to read the regulations pertaining to higher degrees (provided in the University Calendar), copies of which are in the Library, or on the World Wide Web: <a href="https://www.sheffield.ac.uk/calendar/">www.sheffield.ac.uk/calendar/</a>

# **Additional University Services and Facilities**

# Accommodation & Campus Services

The Edge, 34 Endcliffe Crescent, Sheffield, S10 3ED

Telephone: 222 8800-General Enquiries

Fax: 222 0289-General Fax

Web Site: www.sheffield.ac.uk/housing/

#### **Careers Service**

388 Glossop Road, Sheffield, S10 2JA

Telephone: 222 0910

Web site: www.shef.ac.uk/careers/postgraduates/research

Open: Monday - Friday 9.00am - 5.00pm

Except Tuesday 11.00am - 5.00pm

(Times may vary during undergraduate vacations. Please check.)

The Careers Service provides a professional, integrated guidance, information and advice service to all students and recent graduates of the University. Two specialist advisers provide one-to-one guidance specifically for postgraduate researchers.

The Service is committed to supporting all students, both home and international. It offers advice, guidance and information that is specifically relevant to postgraduate research students. In addition, it offers skills development sessions and other events designed to help students to explore options, meet employers and apply successfully for jobs.

The careers advisers for research students deliver a series of workshops tailored specifically to the needs of research students and these are accredited as part of the Research Training Programme.

In April 2008, the Careers Service received accreditation in line with the national 'Matrix' standards for advice, guidance and information.

### **Computing Facilities**

Web Site: www.sheffield.ac.uk/cics/

The Department of Corporate Information and Computing Services (CiCS) offers a wide range of computing facilities for all students. Many Departments have additional facilities. CiCS facilities include:

- IT Centres and open access Student Computing Rooms (some 24-hour)
- · Low cost, high quality laser printing
- Campus wide electronic information systems
- Email

- Short courses
- Documentation
- Helpdesk service
- Personalised internet portal service, MUSE
- · Remote access to the internet.

## **Counselling Service**

Telephone: 222 4134

Web Site: www.sheffield.ac.uk/counselling/

The University's Counselling Service is completely confidential and no other department or personnel are normally informed of your use of the Service. The Service offers individual counselling and group work. Workshops are also run including:

- Anxiety Management
- Relaxation
- Assertiveness
- Exam Preparation

# English Language Teaching Centre

283 Glossop Road, Sheffield S10 2HB

Telephone: 222 1780 Fax: 222 1788

Email: elt@sheffield.ac.uk

Web Site: www.sheffield.ac.uk/eltc

ELTC provides assistance to students who need help with their English. Most of ELTC's clients are international students, but staff are happy to assist home students. A wide range of free English language support classes are offered each semester. The Centre also offers a one-to-one Writing Advisory Service. Students can also take the University of Sheffield English Proficiency Test (USEPT) at the Centre, if required.

### Library

Web Site: www.sheffield.ac.uk/library/

#### **Western Bank Library**

Western Bank

Telephone: 222 7204 (Enquiry Desk) Email: library@sheffield.ac.uk

#### **Information Commons**

44 Leavygreave Road Telephone: 222 9999

Email: infocommons@sheffield.ac.uk

#### Health Sciences Library (Medicine, Dentistry, Nursing)

Royal Hallamshire Hospital

Telephone: 271 2030 (Enquiry Desk)

#### Northern General Hospital (Medicine, Nursing)

Telephone: 226 6800/6801 (Enquiry Desk)

#### St George's Library (Engineering, Management, Computer Science, Information Science, Leisure Management)

Mappin Street

Telephone: 222 7301 (Enquiry Desk)

In addition to providing advice on referencing and copyright outlined in this Code of Practice, and delivering a number of RTP units, the University Library offers a range of services to research students, including a free minibus service to the British Library Document Supply Centre at Boston Spa. Further information can be found at:

http://www.shef.ac.uk/library/services/researchers.html

Library tours and advice sessions for new research students are available on the following dates. There is no need to prebook.

Wednesday 15 October 2009 2.00pm-3.00pm, Information Commons Level 1 Enquiry Point

Thursday 16 October 2009 2.00pm-3.00pm, Western Bank Library entrance area

Wednesday 22 October 2009 2.00pm-3.00pm, Information Commons Level 1 Enquiry Point

Thursday 23 October 2009 2.00pm-3.00pm, Western Bank Library entrance area

# Modern Languages Teaching Centre

Floor 2 Arts Tower, Sheffield S10 2TN

Telephone: 222 0630 Fax: 222 0632

Email: mltc@sheffield.ac.uk Web Site: www.sheffield.ac.uk/mltc

The Modern Languages Teaching Centre exists to enable students of the University to learn a modern foreign language. The Centre offers institution-wide accredited modules, intensive language courses, and a self-access Centre.

### **Print Service**

Bolsover Street, Sheffield, S3 7NA

Telephone: 2221220 Fax: 2224001/2224002

Email: print.enquiries@sheffield.ac.uk Web site: www.sheffield.ac.uk/cics/uniprint

#### **UCard**

Your UCard provides proof of your student status and membership of the Union of Students. It also acts as your library card and will be needed to gain access to some buildings.

Further information can be gained from www.sheffield.ac.uk/ssid/ucards

UCard issuing points are available Monday to Friday, 9am to 5pm, at: Student Services Information Desk (SSiD), Union of Students Building and University Computer Centre, 8-20 Hounsfield Road.

Should a student's time limit run out once they have submitted their thesis (but before their award has been made), they are required to go to the Computer Centre and have the card renewed for a period of three months. This process can be repeated until their award is made. If a student is required to resubmit, then their time limit is changed. Therefore they can acquire a new card in line with the new time limit on their student record.

### **USport**

#### Address:

USport, Goodwin Sports Centre, Northumberland Road, Sheffield S10 2TY

Telephone: 222 6999 Fax: 222 6998

Email: info@usport.co.uk Web Site: www.usport.co.uk

The University of Sheffield has some of the best and most extensive sporting facilities in the UK. So whether you want to take up a new activity, or continue your favourite sport, at either recreational or competitive level, our sports scene provides something for everyone.

# **Welfare and Advice**

#### **Childcare**

The Children's Services Manager 93 Brunswick Street, Sheffield S10 2FL

Telephone: 273 9361 Fax: 275 2506

Email: Nursery@sheffield.ac.uk

The University's Union of Students has a Nursery providing care and education for students' children between the ages of 6 months and 5 years. The Nursery also runs half-term play schemes and can offer information on child minding for older children at the end of the school day.

#### **Cross-Cultural Issues**

Email: international.students@sheffield.ac.uk

The University is an international community - approximately 3800 overseas students from more than 100 different countries. There are a number of international student events and activities organised by the Union and the University that you are welcome to attend. If you want to receive news of these, email Student Services at the above address with a request to receive bulletins.

#### **Disabled Students**

Disability and Dyslexia Support Service The Hillsborough Centre Alfred Denny Building Western Bank Sheffield S10 2TN

Telephone: 0114 222 1303

Fax: 222 1373

Email: disability.Info@sheffield.ac.uk Web: www.shef.ac.uk/ssid/disabilities

The University of Sheffield welcomes applications from disabled students and students with a specific learning difficulty, i.e. dyslexia. If you are thinking about studying at the University we encourage you to contact the Disability and Dyslexia Support Service at the earliest possible time to discuss your support needs. When completing your application form, it is helpful to us if you disclose information about your disability, in order that we can discuss and plan with you appropriate support, safety and facilities you may require.

The University publication 'Information for Disabled and Dyslexic Students' describes the facilities and support currently provided within the University. You can access it online at: <a href="www.sheffield.ac.uk/ssid/disabilities/contents.html">www.sheffield.ac.uk/ssid/disabilities/contents.html</a>. Copies are also available from the Disability and Dyslexia Support Service.

We are committed to responding effectively and appropriately to individual support needs. We take all practicable steps to ensure that students with disabilities can participate in their studies without disadvantage, and can make full use of the University's academic and support services.

If you are academically suitable and receive an offer, you will be sent a Learning Support Questionnaire to complete about your likely needs. You will also be given the opportunity to meet with a Disability Adviser and visit the University to assess the department, the facilities and the surroundings.

#### **Funding for support**

You may be eligible for the Disabled Students' Allowances (DSA) if you are a home student whose course is equivalent to at least 50% of a full time course. DSA funding can be used to pay for support to enable students to fully access their course of study. Please contact the Disability and Dyslexia Support Service for further information.

Overseas students, including EU applicants, are not currently eligible for the DSA. However, most of the University facilities and provisions are still available to them, apart from those that are specifically for UK students only, e.g. Access to Learning Fund.

#### **Health Matters**

University Health Service 53 Gell Street, Sheffield S3 7QP Telephone: 222 2100 (24 hours)

Fax: 222 2123

Email: health.service@sheffield.ac.uk Web Site: www.sheffield.ac.uk/health/

Students (excluding staff-candidates) of the University with a Sheffield address are entitled to register with the University Health Service for NHS care (dependants can only be accepted if they live within the practice boundary). Please refer to the web site for full details of services available.

#### **Dental treatment**

The University does not have a dental service, however free dental treatment for fillings, crowns, bridges or dentures may be available at the School of Clinical Dentistry. Interested students would need to call in at the Main Reception at the Charles Clifford Dental Hospital and then attend an assessment clinic. Closely supervised student dentists undergoing training will treat those considered suitable.

### **Independent Support Agencies**

If you feel you do not wish to use University facilities or need help when they are not available to you, the city has a number of independent support agencies who can help:

#### **AIDS Line**

Telephone Free: 0800 567 123

#### **Alcohol Advisory Service**

646 Abbeydale Road, Sheffield S7 2BB

Telephone: 258 7553

#### **Alcoholics Anonymous**

Telephone: 270 1984 (24 hour)

#### **British Pregnancy Advisory Service**

Telephone: 0845 730 4030

#### **Family Planning Clinic**

Mulberry Street, Sheffield S1 2DH

Telephone: 271 6816

#### Genito-Urinary Clinic Royal Hallamshire Hospital

Glossop Road, Sheffield S10 2PR

Telephone: 276 6928

#### **Rockingham Drug Project**

117 Rockingham Street, Sheffield S1 4EB

Telephone: 275 5973

#### **Samaritans**

Rockingham Lane, Sheffield S1 4FX

Telephone: 276 7277

#### **Sheffield Rape & Sexual Abuse Counselling Service**

PO Box 34, Sheffield S1 1UD Telephone: 244 7936

#### Victim Support Sheffield Voluntary Action,

1st Floor, St James S1, Vicker Lane, Sheffield S1 2EX

Telephone: 275 8411

# **Nightline**

Telephone: 222 8787 (Listening)

222 8788 (Information)

Email: nightline@sheffield.ac.uk

Web Site: www.sheffield.ac.uk/union/advice/nightline.html

Nightline is the University's confidential listening and information telephone service. It is run by trained student volunteers, and operates from 8pm to 8am during semesters. It offers students everything from the phone number of a 24-hour taxi company, to exam dates, times and locations, and information about every issue that can be encountered within student life. The service can be called free from University networked phones.

### **Personal safety and security**

Web Site: www.sheffield.ac.uk/security/perssafe

#### Women's Safety & The Women's Minibus

Women's Officer

Sabbatical Office, Level 4, Union of Students Building

Telephone: 222 8608

If you have any worries about safety or harassment, or if you would like help in solving any problems you or your friends are facing, please contact the Women's Officer. A Women's minibus service operates every evening from the Union of Students to home (within a five mile radius). Other request services and taxi connection services are available.

#### **Alarms**

Screech alarms which can be carried in the hand, pocket or around the neck are available to purchase (subsidised) from the Union Shop, Union Box Office, or Women's Officer.

#### **Safety Services**

40 Victoria Street, Sheffield S3 7QB

Telephone: 222 6198

Fax: 276 8741

Web Site: www.sheffield.ac.uk/safety/

Offering training, advice and Codes of Practice for First Aid; Specific Hazards; Fire; Radiation; Manual

Handling; etc.

#### **Security Services**

University Security Control Room

Telephone: 222 4085

#### **IN AN EMERGENCY**

In the University - dial 4444 (24 hours) Outside the University - dial 999

The University's Security Advisor is available to all students and staff to give advice and assistance on a wide variety of matters involving general or personal security issues.

Telephone: 222 4419.

# **Religious Matters**

There is a multi-faith chaplaincy team at the University. For details of their facilities and services, or for information on local worship, please visit the following web site: www.sheffield.ac.uk/ssid/chaplains/

#### **Student Advice Centre**

Union of Students Building Telephone: 222 8660

Email: advice@sheffield.ac.uk
Web site: www.sheffield.ac.uk/union/advice

The Centre provides a confidential, free advice and information service to all students. General advice and information is available on a wide range of welfare and related areas. Specialist advice and support are available for money, debt, housing, academic, immigration, consumer and employment issues.

#### **Union of Students**

Western Bank, Sheffield S10 2TG Telephone: 222 8500 (Reception)

Fax: 275 2506

Email: union@sheffield.ac.uk

Web Site: www.sheffield.ac.uk/union/

The Students' Union is run by students for students and offers a full range of facilities and activities including entertainment, eating, banking, insurance and workspace. The Union also provides club and society activities, representation and welfare support for all students of the University.



